

## **Request for Tender**

**AM 9267**

# **Study Mission to Australia in University Autonomy for the Aus4Skills Program**

### **Structure of Invitation**

Part A – Procurement Process Guidelines

Part B – Scope of Services

Part C – Draft Contract

Part D – Selection Criteria and Information Required for Technical Assessment

Part E – Selection Criteria and Information Required for Financial Assessment

**AM 9267**

**Part A**

**Procurement Process Guideline**

## Invitation to submit a Proposal

**You are invited to submit a proposal for the provision of:**

Study Mission to Australia in University Autonomy for the Aus4Skills Program

## Principal

**Tetra Tech International Development Pty Ltd**

ABN 63 007 889 081

World Park, 33 Richmond Road

Keswick SA 5035

## Tetra Tech International Development's Requirements

Tetra Tech International Development Pty Ltd (Tetra Tech International Development) as the managing contractor of the Aus4Skills Program on behalf of the Australian Department of Foreign Affairs and Trade in Vietnam, is seeking proposals from service providers to design and deliver a study mission to Australia in university autonomy for up to 16 officials from Vietnam's Ministry of Education and Training (MOET) and university representatives (the "Activity").

A description of the Activity is included in Part B, Scope of Services.

Aus4Skills will exclude proposals from entities on the grounds of bankruptcy, insolvency, false declarations, or significant deficiencies in performance of any substantive requirement or obligation under a prior contract with Tetra Tech International Development or Aus4Skills.

## Important Dates

Submission and Query Dates	
Invitation Issue Date	17 November 2022
Closing Date for registration of intent to submit a proposal	8 December 2022
Last Queries Date	19 December 2022, 5:00 pm South Australian Time
Closing Date and Time	9 January 2023, 5:00 pm South Australian Time
Indicative Timetable	
Completion of evaluation	13 January 2023 (subject to DFAT approval of the procurement report)
Notification to successful Supplier(s)	16 January 2023 (subject to DFAT approval of the procurement report)
Notification to unsuccessful Supplier(s)	16 January 2023 (subject to DFAT approval of the procurement report)
Contract commencement	Mid-February 2023

## Offers and Submission

Alternative Offers	Alternative Offers may be submitted	<input checked="" type="checkbox"/> No
Form of submission	Email to:	<a href="mailto:procurement@australiaawardsvietnam.org">procurement@australiaawardsvietnam.org</a>
Offer Validity Period	90 days from the Closing Date and Time	

## Contact Person

<b>Name</b>	Andrea Wilson
<b>Position</b>	Contracts and Services Director, Aus4Skills
<b>Email</b>	<a href="mailto:procurement@australiaawardsvietnam.org">procurement@australiaawardsvietnam.org</a>

## Evaluation Criteria

Tenderers will be evaluated on the following criteria as further described in Part D and Part:

- A. Prior Performance and Experience
- B. Response to Activity Specification
- C. Core Personnel
- D. Value for Money

## **1 Invitation**

### **1.1 Tetra Tech International Development's Requirements**

Tetra Tech International Development invites the Tenderer to make an Offer in accordance with this Invitation for the provision of Tetra Tech International Development's Requirements.

### **1.2 Additions and Amendments**

Tetra Tech International Development may amend or add to the information in this Invitation or the Specifications at any time before the Closing Date and Time and may extend the Closing Date and Time to enable the Tenderer to amend their Offer.

### **1.3 Accuracy of Invitation**

Tetra Tech International Development makes no promise or representation that any factual information supplied in or in connection with this Procurement Process or Invitation is accurate.

Information is provided in good faith and Tetra Tech International Development will not be liable for any omission from this Invitation.

### **1.4 The Use of Invitation**

Without the express prior written consent of Tetra Tech International Development, the Tenderer must not reproduce, re-advertise and/or in any way use the contents of this Invitation either in whole or in part, other than for the purpose of preparing and lodging an Offer.

### **1.5 Procurement Process does not create a Contract**

The Tenderer's participation in this Procurement Process, (including the preparation and submission of the Offer), is at the Tenderer's sole risk.

Nothing in this Invitation, the Procurement Process, or the Tenderer's Offer must be construed as creating any binding contract or other legal relationship (express or implied) between the Tenderer and Tetra Tech International Development.

## **2 Structure of Invitation**

This Invitation consists of four parts:

### **Part A Procurement Process Guidelines**

Part A contains general information about the Procurement Process and how the Tenderer can make an Offer.

### **Part B Scope of Services**

Part B sets out Tetra Tech International Development's Requirements in detail.

### **Part C Draft Contract**

Part C contains the proposed terms of the contract that may be entered into between the Tenderer and Tetra Tech International Development if the Tenderer's Offer is successful.

### **Part D – Selection Criteria and Information Required for Technical Assessment**

Part D contains the selection criteria and information required for the Technical Assessment. Each of the criteria identified must be addressed in the Tenderer's Technical Proposal.

### **Part E – Selection Criteria and Information Required for Financial Assessment**

Part E contains the selection criteria and information required for the Financial Assessment. The criteria identified must be addressed in the Tenderer's Financial Proposal.

### **3 Communication**

#### **3.1 Contact Person**

The Tenderer may only communicate with the Contact Person (listed on the front page of this document) about this Invitation, and that contact must be in writing.

#### **3.2 Requests for Clarification**

Up to and including the Last Queries Date, the Tenderer may submit a query or request for further information in writing to the Contact Person.

Tetra Tech International Development does not guarantee that it will respond to any query, particularly queries received after the Last Queries Date.

Tetra Tech International Development reserves the right in its' discretion to inform all other Tenderers of any question or matter any Tenderer may raise and the response given (but may choose not to do so).

Tetra Tech International Development is not obliged to consider any clarification from any Tenderer that it considers to be unsolicited or otherwise impermissible.

### **4 The Proposal Offer**

#### **4.1 Format of Offer**

The Offer must be completed using the templates provided. The Offer must:

- a) be in English
- b) be endorsed by an appropriately authorised officer with any alterations or prices clearly and legibly stated and any alterations initialled
- c) quote prices in Vietnamese dong that are tax exclusive and, in relation to tax, must state where the tax is applicable and show that amount separately
- d) stipulate fixed prices (unless otherwise specifically required or indicated)
- e) be concise and only provide what is sufficient to present a complete and effective response.

Tetra Tech International Development may disregard any content in an Offer that is illegible.

#### **4.2 Conforming Offer**

The Tenderer must submit a Conforming Offer to be considered.

A Conforming Offer means an Offer by the Supplier that includes all requested information, is received by the Closing Date and Time, is open for the minimum validity period and satisfies all Mandatory Criteria

#### **4.3 Alternative Offers**

The Tenderer may also submit an additional Alternative Offer if this option is specified in the Reference Schedule.

Where the Tenderer proposes an Alternative Offer, the Tenderer must:

- a) first submit a Conforming Offer
- b) identify, in detail, the proposed alternative approach or solution
- c) specify how the Alternative Offer differs from the Conforming Offer (including the effect(s) on any pricing)
- d) state the reasons for each instance of change
- e) clearly demonstrate how the Alternative Offer is beneficial to Tetra Tech International Development.

#### **4.4 Cost of Preparing the Offer**

The Tenderer is solely responsible for the cost of preparing and submitting the Offer(s) and all other costs arising from the Tenderer's participation in the Procurement Process.

### **5 Lodging an Offer**

The Closing Date and Time for lodging the Offer(s) is nominated in the Reference Schedule. Tetra Tech International Development may extend the Closing Date and Time in its absolute discretion.

#### **5.1 Email**

If the Tenderer is lodging an Offer via email, the Tenderer must satisfy the requirements for email submission specified in the Reference Schedule.

The Tenderer must virus check any Offer (including all constituent files and/or documents) before lodging via email.

The Tenderer is encouraged to lodge the Offer at least two hours before the Closing Date and Time.

Where an Offer is lodged via email, each submission will be regarded as full and complete. If the Tenderer needs to modify a single document or a group of documents, the Tenderer will need to submit all documents again.

Offer(s) lodged via email cannot exceed 10MB.

#### **5.2 Late Offers**

If an Offer is lodged after the Closing Date and Time, it may be ineligible for consideration unless:

- a) Tetra Tech International Development determines in its sole discretion that Tetra Tech International Development has caused or contributed to the failure to lodge by the Closing Date and Time; or
- b) Tetra Tech International Development decides that exceptional circumstances exist which warrant consideration of the late Offer and that acceptance of the late Offer does not compromise the integrity of the Procurement Process.
- c) Tetra Tech International Development in its sole and absolute discretion reserves the right to take into account a late proposal.

#### **5.3 Validity**

By lodging an Offer the Tenderer agrees that the Offer will remain open for acceptance by Tetra Tech International Development for the validity period specified in the Reference Schedule.

#### **5.4 Tetra Tech International Development's Use of The Tenderer's Offer Materials**

Upon submission, all of the Tenderer's Offer Materials will become the property of Tetra Tech International Development.

Intellectual Property owned by the Tenderer or any third parties forming part of the Offer Materials will not pass to Tetra Tech International Development with the physical property comprising the Offer Materials. However, the Tenderer acknowledges and agrees that the Tenderer has the authority to grant to Tetra Tech International Development an irrevocable, royalty free licence to use, reproduce and circulate any copyright material contained in the Offer to the extent necessary to conduct the Evaluation and in the preparation of any resultant contract.

### **6 Consortia and Sub-Contracting**

#### **6.1 Consortia**

If the Tenderer is a member of a consortium then the Offer must stipulate which part(s) of Tetra Tech International Development's Requirements that each entity comprising the consortium would provide and how the entities would relate with each other to ensure full provision of Tetra Tech International Development's

Requirements. All consortium members that are not natural persons are to provide details relating to their legal nature and any relevant corporate structure.

Tetra Tech International Development will treat the Tenderer as the preferred contact person for any consortium Offer.

## **6.2 Sub-contracting**

If the Offer relies on a sub-contracting arrangement, then the Tenderer must stipulate in the Offer the tasks that the proposed sub-contractor(s) would undertake. The Tenderer will remain legally responsible for meeting Tetra Tech International Development Requirements.

## **7 Procurement Process Conduct**

### **7.1 The Tenderer's Conduct**

The Tenderer must:

- a) ensure all communications are undertaken via the Contact Person
- b) declare any actual or potential conflict of interest
- c) not employ or engage the services of any person who has a duty to Tetra Tech International Development as an adviser, consultant or employee (or former adviser, consultant or employee) who has been substantially involved in the design, preparation, appraisal, review and/or daily management of this activity
- d) not offer any incentive to, or otherwise attempt to influence, any employee of Tetra Tech International Development or any member of an evaluation team at any time
- e) not engage in any collusive or anti-competitive conduct with any Supplier
- f) comply with all laws in force in Vietnam applicable to this Procurement Process
- g) disclose whether the Tenderer is acting as agent, nominee or jointly with another person(s) and disclose the identity of the other person(s)
- h) not issue any news releases or responses to media enquiries and questions regarding this Procurement Process or this Invitation without Tetra Tech International Development's written approval.
- i) the Tenderer must not be named as not complying with the Workplace Gender Equality Act 2012 (Cth);
- j) the Tenderer must not be listed on the World Bank List or any similar List maintained by a development donor or is the subject of an informal investigation or temporary suspension which could lead the Tenderer becoming so listed;
- k) the Tenderer must not be subject to an adverse Court or Tribunal decision (not including decisions under appeal) for a breach of any workplace relations law, work health and safety law, or workers' compensation law, or if the Tenderer is subject, that the Tenderer has fully complied, or is fully complying with the Court or Tribunal order; and
- l) the Tenderer agrees to contract as a single legal entity.

If the Tenderer acts contrary to the expectations outlined above, Tetra Tech International Development reserves the right (regardless of any subsequent dealings) to exclude the Tenderer's Offer from further consideration.

### **7.2 Tetra Tech International Development's Conduct**

Tetra Tech International Development will:

- a) preserve the confidentiality of any information marked as confidential (subject to conditions concerning confidentiality)
- b) give Suppliers the opportunity to compete fairly.



### 7.3 Confidentiality

The Tenderer must identify any aspect of the Offer that the Tenderer considers should be kept confidential including reasons. Tetra Tech International Development is not obliged to treat information as confidential and in the absence of any agreement to do so, the Tenderer acknowledges that Tetra Tech International Development has the right to publicly disclose the information.

Any condition in the Offer that seeks to prohibit or restrict Tetra Tech International Development's right to disclose will not be accepted.

Information supplied by or on behalf of Tetra Tech International Development is confidential to Tetra Tech International Development and the Tenderer is obliged to maintain its confidentiality. The Tenderer may disclose confidential information to any person that has a need to know the information for the purposes of submitting the Offer.

### 7.4 Commonwealth Procurement Rules and PGPA Act

Tenderers should be aware that the Commonwealth Procurement Rules ('CPRs') and the *Public Governance Performance and Accountability Act Rules 2013* (Cth) ('PGPA Act') as amended from time to time, apply to this Request for Tender. The CPRs are available at: <http://www.finance.gov.au/procurement/procurement-policy-and-guidance/commonwealth-procurement-rules/index.html>

The PGPA Act is available at: <http://www.comlaw.gov.au>

### 7.5 Disclosure of Information Provided by Tenderers

Tetra Tech International Development's selection process for services is conducted in accordance with Australia's Commonwealth Procurement Rules. For the purpose of assessing Proposals, Tetra Tech International Development is required to pass Proposals to Commonwealth Government Departments and Agencies as Tetra Tech International Development sees fit and to relevant Ministers and Parliamentary Secretaries.

It is Tetra Tech International Development policy not to divulge to a Tenderer information that has been provided in-confidence by another.

Tenderers should note that the *Freedom of Information Act 1982* (The Act) gives members of the public rights of access to official documents of the Commonwealth Government and its Agencies. The Act extends, as far as possible, rights to access information in the possession of the Commonwealth Government and its Agencies, limited only by considerations for the protection of essential public interest and of the private and business affairs of persons in respect of whom information is collected and held by departments and public authorities.

## 8 Evaluation Process

### 8.1 Evaluation

Tetra Tech International Development will evaluate and assess only those Proposals determined to be complying with the requirements of the Proposal Documents. The evaluation of Proposals will be on a 'value for money' basis in accordance with Australian Commonwealth Procurement Rules, including but not limited to the following:

- a) Prior Performance and Experience
- b) Response to Activity Specification
- c) Core Personnel
- d) Value for Money

Tetra Tech International Development will convene a Tender Evaluation Committee (TEC) to undertake the value for money evaluation. Members of the TEC could be Tetra Tech International Development or DFAT representatives, representatives of the Partner Government, and/or externally engaged experts at Tetra Tech International Development's sole discretion.

Tetra Tech International Development reserves the right to negotiate a successful proposal with any of the Tenderers in the event that none of the Proposals received fully meet the evaluation criteria.

Tetra Tech International Development reserves the right to accept or reject any proposal at any time prior to the award of a contract, without thereby incurring any liability to the affected Tenderer or Tenderers.

Tetra Tech International Development reserves the right to reject all Proposals before re-calling Proposals from any source including those Tenderers who have already submitted Proposals.

In evaluating Offers Tetra Tech International Development will consider:

- a) the Evaluation Criteria
- b) the overall value for money of the Offer

Where mandatory criteria are specified in the Reference Schedule and the Offer does not comply with these criteria, Tetra Tech International Development may choose not to further evaluate the Offer.

Tetra Tech International Development may seek the advice of external consultants to assist Tetra Tech International Development in evaluating the Offers.

Tetra Tech International Development may in its absolute discretion:

- a) take into account any relevant consideration when evaluating Offers
- b) accept all or part of an Offer
- c) invite any person or entity to lodge an Offer
- d) allow a Supplier to change its Offer
- e) consider, decline to consider, or accept (at Tetra Tech International Development's sole discretion) an Offer lodged other than in accordance with this Invitation
- f) seek further information from the Tenderer regarding the Offer including but not limited to requests for additional information or presentations by, or interviews with the Tenderer or the Tenderer's key personnel
- g) seek and evaluate relevant financial viability data concerning any Suppliers' business and related entities including seeking any assistance from third party providers
- h) make enquiries of any person or entity to obtain information about any Supplier and its Offer (including but not limited to the referees)
- i) seek clarification of any technical, commercial, risk or other matters at any stage, and may seek a Best and Final Offer in respect of the offer provided by each Tenderer.
- j) is not bound to accept the lowest priced, highest technical or any Proposal.

## **8.2 Discontinue Process**

Tetra Tech International Development may decide not to proceed any further with the Procurement Process for Tetra Tech International Development's Requirement.

## **8.3 Shortlisting**

Tetra Tech International Development may choose to short-list some Suppliers and continue evaluating Offers from those short-listed Suppliers. Tetra Tech International Development is not at any time required to notify the Tenderer, any Supplier or any other person or organisation interested in making an Offer of its intentions or decision to short-list.

## **8.4 Negotiation**

Tetra Tech International Development may choose to:

- a) enter into negotiations with the Tenderer or any Supplier (including parallel negotiations with more than one Supplier) in order to vary its Offer on grounds of capability / capacity, technical issues, cost, effectiveness, to finalise agreement on the terms of the contract, or any other matters

- b) re-evaluate Offers generally after any negotiation
- c) suspend, discontinue or terminate at any time negotiations with the Tenderer or any Supplier or any other person or organisation
- d) negotiate with the Tenderer or any Supplier for the provision of any part of Tetra Tech International Development's Requirement and negotiate with any other Supplier with respect to the same or other parts of Tetra Tech International Development Requirement and to enter into one or more contracts for part or parts of Tetra Tech International Development's Requirement
- e) negotiate at any time with any organisation that is not a Supplier and enter into a contract in relation to Tetra Tech International Development's Requirement or any part of Tetra Tech International Development's Requirement with that organisation on such terms as Tetra Tech International Development, at its absolute discretion, considers appropriate
- f) seek best and final offers from all or some of the Suppliers. Irrespective of Tetra Tech International Development's right to negotiate and/or seek a best and final offer, The Tenderer is bound by the Offer, and if selected, the Tenderer must be willing to enter into a contract on the basis of the Offer.

## 8.5 Contract Formation

Tetra Tech International Development may make partial or multiple awards of contract for selected portions of Tetra Tech International Development's Requirement or accept a portion or the whole of any Offer at the price or prices proposed or subsequently agreed.

No legal relationship will exist between a Supplier and Tetra Tech International Development for the supply of Tetra Tech International Development's Requirement until such time as a binding contract is executed by both parties.

Tetra Tech International Development intends to contract based on the Draft Contract contained in Part C of this Request for Tender. By submitting a Proposal, the Tenderer agrees to the Services-Specific and Standard Conditions of Proposal, including the Draft Contract. Any non-compliance with the Draft Contract must be documented in Clause 12 of Part D - Response Form.

## 9 Glossary

### 9.1 Definitions

In this Invitation, unless the contrary intention is apparent:

- a) "Alternative Offer" is an alternative or innovate offer which provides a value for money solution that meets Tetra Tech International Development's Requirements
- b) "Closing Date and Time" means the date and time nominated in the Reference Schedule by which Offers are required to be lodged
- c) "Conforming Offer" means an Offer by the Supplier that includes all requested information, is received by the Closing Date and Time, is open for the minimum validity period and satisfies all Mandatory Criteria
- d) "Contact Person" means the person nominated in the Reference Schedule authorised by Tetra Tech International Development to communicate with Suppliers about the Procurement Process
- e) "CPR" means Australian Commonwealth Government's Commonwealth Procurement Rules
- f) "DFAT" means Australian Commonwealth Government Department of Foreign Affairs and Trade
- g) "Evaluation" means the process for considering and evaluating Offers in accordance with clause 8.1
- h) "Intellectual Property" means any patent, copyright, trademark, trade name, design, trade secret, knowhow, or other form of intellectual property and the right to registration and renewal of the intellectual property
- i) "Invitation" means this document inviting persons to lodge an Offer
- j) "Last Queries Date" means the date nominated in the Reference Schedule as the last date for Suppliers to seek information or clarification of any matters relating to this Invitation

- k) "Mandatory Criteria" means the criteria considered by Tetra Tech International Development to be critical and identified in the Reference Schedule
- l) "Offer" means the documents constituting an offer lodged by a Supplier to meet Tetra Tech International Development's Requirement in accordance with this Invitation
- m) "Offer Material" means all documents, data, computer programs, computer discs and other materials and things provided by a Supplier in relation to an Offer arising out of this Invitation
- n) "Part" means a part of this Invitation
- o) "Procurement Process" means the process commenced by the issuing of this Invitation and concluding upon the award of a contract (or other outcome as determined by Tetra Tech International Development) or upon the earlier termination of the process
- p) "Reference Schedule" means the reference schedule in Part A of this Invitation
- q) "Scope of Services" means the information about Tetra Tech International Development's Requirement described in Part B
- r) "South Australian Time" means the time applicable to South Australia, as defined at <http://www.australia.gov/about-australia/our-country/time>
- s) "Supplier" or "The Tenderer" means any person or organisation responding to this Invitation by lodging an Offer.

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**Part B**

**Scope of Services**

<b>Activity Name</b>	<b>Study Mission in University Autonomy</b>
<b>Activity Background and Objective</b>	<p>In May 2019, DFAT through Aus4Skills supported a study mission to Australia for Vietnamese policy makers from MOET and university representatives. The delegation met with relevant Australian experts to gain exposure to the functioning and management of autonomous universities in Australia. Following this study mission, MOET adopted Guidelines on implementation of the Amended Education Law with a focus on university autonomy (Decree 99/2019/ND-CP), and collaborated with TEQSA in finalising Vietnam's Program Standards Framework which was approved in June 2020.</p> <p>Decree 99/2019/ND-CP regulates the autonomy and accountability of public and private universities and delegates universities with some degree of autonomy over academic activities, organizational structure, personnel, assets and finance. MOET is now reviewing Decree 99 and related regulations and policies on university autonomy. In light of this review and potential amendments to Decree 99/2019/ND-CP, the Activity is aimed at expanding and the deepening the understanding of participants in:</p> <ul style="list-style-type: none"> <li>• the role of university councils and the Rector/President of a university,</li> <li>• the Government's role in establishing linkages between universities and industry,</li> <li>• how to utilize tools/methodologies to monitor and manage university autonomy effectively and fairly (including measures for when universities fail to apply university autonomy properly)</li> <li>• Australia's experience in higher education quality assurance for teaching and learning modes, including articulation between training levels in autonomous universities.</li> </ul>
<b>Contribution to Aus4Skills Program Outcomes</b>	<p>The Higher Education Building Capacity Component of Aus4Skills supports the Vietnamese government and university partners to strengthen Vietnam's higher education institutions. Specific areas of support, as agreed between the Australian Embassy in Hanoi and MOET, aligns with the Government of Vietnam's priorities to improve the quality of higher education and support implementation of the Vietnam Qualifications Framework.</p> <p>The end-of-program outcome for the Higher Education Component is that selected male and female Vietnamese university leaders and administrators gain new knowledge and skills on higher education leadership, governance and management.</p> <p>The Activity will contribute to this end-of-program outcome by enhancing the understanding of Vietnamese officials and university representatives in an autonomous university system, thereby supporting MOET's regulatory reform efforts in this area.</p> <p>This, in turn, will contribute to the following Aus4Skills whole-of-program outcomes are:</p> <ol style="list-style-type: none"> <li>i. male and female alumni use new skills and knowledge to make positive contributions to selected areas;</li> <li>ii. selected Government of Vietnam, private sector and civil organisations, and other partners, demonstrate organisational change as program facilitated improvement(s) in policies, practices or performance standards; and</li> <li>iii. Australia and Vietnam have stronger sustainable links in selected sectors/agencies.</li> </ol>
<b>Target Participants</b>	<p>The Activity targets MOET officials working on the university autonomy related regulatory framework and representatives of universities who will responsible for implementing reforms under Decree 99.</p>

Activity Name	Study Mission in University Autonomy
	<p>Nominated participants will fit the following criteria:</p> <ol style="list-style-type: none"> <li>a) hold a position of department leader, senior official or principal official at a MOET department who is involved in the development of and monitoring Decree 99 or hold a senior level position no lower than Head of Division or equivalent at a Vietnamese university</li> <li>b) demonstrate a linkage between the their area of work and the Activity objectives</li> <li>c) speak English with sufficient fluency to work without interpretation and to network effectively in Australia</li> <li>d) be committed to participating in the five-day visit with the group, engaging actively, and networking with multiple stakeholders</li> <li>e) be committed to disseminating learning from the visit with colleagues and peers, including at workshop to be organised by Aus4Skills on university autonomy and accountability in Vietnam</li> <li>f) experience in working with Australian partners and universities.</li> </ol> <p>Aus4Skills will manage the participant nomination process in close consultation with MOET. Women and people with disabilities are highly encouraged to participate. It is desirable that 50% of participants are females.</p>
<b>Learning Outcomes</b>	<p>By the end of the Activity, participants will:</p> <ol style="list-style-type: none"> <li>a) learn how Australian universities manage and ensure effective university councils</li> <li>b) understand Australia’s higher education regulatory framework for university governance</li> <li>c) be able to describe differences in the roles and responsibilities of the Australian Federal Department of Education and MOET, especially with respect to oversight of university governance</li> <li>d) be able to identify and discuss examples of practice from Australia that are transferable to Vietnam with any necessary adaptations or are not transferable and reasons why, and</li> <li>e) identify individuals and organisations that are relevant for maintenance of linkages and agree on means to keep in contact.</li> </ol>
<b>Activity Delivery Approach</b>	<p>Tenderers should propose an approach to delivering the Activity which achieves the targeted objectives and learning outcomes specified above, and includes the following elements:</p> <ul style="list-style-type: none"> <li>• pre-departure briefing (online) two weeks before study mission</li> <li>• orientation and security briefing on arrival in Australia</li> <li>• visits to and meetings with relevant Australian agencies responsible for higher education regulatory frameworks</li> <li>• visits to and meetings with universities (Group of Eight research-intensive universities as well as regional universities which have been active in curriculum development and/or program delivery in Vietnam)</li> <li>• one after-hours networking event</li> <li>• daily briefing and de-briefing for participants to share each other’s expectations and reflections</li> <li>• coaching of participants in the development of a presentation which they will be expected to deliver to their respective departments/organisations upon their return to Vietnam</li> </ul>

Activity Name	Study Mission in University Autonomy
	<ul style="list-style-type: none"> <li>wrap-up workshop (1/2 day online) approximately 4 weeks after the study mission to review key learnings and provide an opportunity for participants to share their presentations.</li> </ul>
<b>Key Personnel</b>	<p>Tenderers must propose a team structure which addresses its capacity to deliver the Activity. Core personnel will include the following positions at a minimum:</p> <ol style="list-style-type: none"> <li><b>Team Leader</b> – an expert in the topics of university governance and accountability who will design the study mission and accompany the group throughout the five days. The Team Leader will deliver the daily briefing and de-briefing to ensure that the participants can focus and gain the most possible knowledge and experience from the visits. The Team Leader may be supported by other experts as proposed in the Tenderer’s Technical proposal.</li> <li><b>Administrator/Coordinator</b> - will be responsible for making all logistics arrangements for the Activity, including travel and accommodation, financial administration, reporting and coordination of visits and meetings. A Vietnamese national is preferred.</li> </ol>
<b>Tentative Dates and Location</b>	Up to 7 days in Australia in April 2023, including two days of travel (weekend travel to and from Australia preferred).
<b>Monitoring and Evaluation</b>	<p>The preferred provider will implement monitoring and evaluation tools supplied by, or agreed with, Aus4Skills to assess:</p> <ol style="list-style-type: none"> <li>participant satisfaction with the activity</li> <li>the extent to which a participant has advanced in skills, knowledge and/or attitude</li> <li>the change that has occurred in participants’ behaviour due to the activity</li> <li>the success of the activity in terms of expected outputs.</li> </ol> <p>The preferred provider will award the participants with a Certificate of Participation.</p>
<b>Activity Logistics</b>	The preferred provider will be responsible for arranging and paying for all costs associated with participants’ travel to and accommodation in Australia in accordance with the <i>Aus4Skill Guidelines for Contracted Providers</i> available at: <a href="http://tetratechasiapacific.com">Guidelines for Contracted Providers (tetratechasiapacific.com)</a> .

## Services to be provided and specific tasks

The Preferred provider will provide and deliver the Activity in accordance with the Timetable above.

The provision and delivery will be consistent with, and where specified in addition to, the requirements detailed *Aus4Skills Guidelines for Contracted Providers* available at: [Guidelines for Contracted Providers \(tetratechasiapacific.com\)](http://tetratechasiapacific.com).

### Activity Design

- Design and deliver flexible study mission including targeted briefings, meetings, workshops, site visits, facilitation of group projects and reflective activities that respond to the Activity details specified in this Scope of Services and builds upon the Technical Proposal submitted by the preferred provider in response to this Request for Tender.
- Prior to contracting, the preferred provider must submit the following documents to Tetra Tech International Development for approval:



- i. Activity Design and Delivery Plan (using template provided) that responds to the Activity details included in this Scope of Services and builds upon the Draft Activity Design and Delivery Plan submitted at tender) and which includes the following:
    - Activity content and approach;
    - Activity structure, location and content;
    - intended learning outcomes (as appropriate);
    - attendance/participation certification
    - personnel;
    - activity monitoring and evaluation approach; and
    - risk table.
  - ii. Budget (using the template provided by Aus4Skills).
  - iii. Communications Checklist (using the template provided by Aus4Skills).
3. Prepare and distribute all Activity materials. All background and information materials (English and Vietnamese) must be submitted to Aus4Skills at least two weeks before the Activity commences. When material provided to participants is enhanced, varied or substituted, the updated material must be provided to Aus4Skills in a timely manner.

### **Activity Delivery**

4. Strategically manage the Activity through effective coordination, consultation and liaison with the relevant Aus4Skills Senior Education Officer and as directed by DFAT or other relevant stakeholders.
5. Manage delivery of the agreed briefings, meetings and workshops, including staff facilitation, providing inputs or other support, maintaining flexibility of arrangements and adjusting activity content as new needs emerge.
6. Generate professional multimedia communications, to promote the outcomes of the Activity. All communications deliverables will be agreed prior to contracting and must comply with guidelines provided by Aus4Skills at contracting.

### **Staffing**

7. Employ and manage sufficient personnel required to perform contract services, including core personnel and administrative personnel nominated in accordance with the requirements in Part B of this Request for Tender.
8. Identify and sub-contract suitable experts, guest lecturers, site visit organisations, etc. to ensure a varied and contextualised learning experience.
9. Remunerate core personnel in compliance with the provision and rates as set out in DFAT's *Adviser Remuneration Framework (ARF)*, issued in October 2016.

### **Logistical and Welfare Support**

10. Administer and pay per diems for mission participants and make any relevant payments as directed by DFAT or Tetra Tech International Development (i.e. AUD 82 per person, per day living allowance for the in-Australia training block and AUD 45 per person, per day living allowance for in-Vietnam travel (if participants are away from their work location).
11. Book and purchase all Activity-related travel and accommodation in Australia for Activity participants and delivery personnel including flights, transfers, and hotels, within approved budget and in compliance with the *Aus4Skills Guidelines for Contracted Providers*.
12. Arrange necessary visas for delivery personnel (Vietnam visas) if necessary.

13. Develop pre-departure briefing content (building on the standard briefing provided by the Aus4Skills Program).
14. Conduct a safety briefing and orientation within 24 hours of participants' arrival in Australia.
15. Provide adequate administrative support and pastoral care to participants when they are in Australia.
16. Arrange appropriate insurance coverage for all Activity participants for the duration of their stay in Australia, provide information and assistance on health service coverage and render assistance and support as appropriate in the event of any adverse events, illness or death while in Australia.
17. Advise Tetra Tech International Development immediately in any eventuality where the preferred provider becomes aware that a participant expresses an intention not to complete the Activity in which they have been approved to participate, or shows signs of intending not to complete.

### **Monitoring and Evaluation**

18. Implement monitoring and evaluation tools supplied by, or agreed with, Tetra Tech International Development.
19. Fully cooperate with Aus4Skills in the review, monitoring or evaluation of the Activity, including providing all M&E documents and results and allocating reasonable time within the Activity program to provide Aus4Skills and/or delegated stakeholders with access to participants for monitoring and review purposes.

### **Reporting**

20. Keep accurate participation records for the Activity disaggregated by gender and other measures specified by Aus4Skills. These records will be provided to the relevant Aus4Skills VET Project Manager on request.
21. Prepare periodic financial reports, detailing actual expenditure incurred and forecasts until the end of the activity as required by Tetra Tech International Development. Payment for all reimbursable costs will be for actual, verified activity costs incurred, as detailed in the Australian delivery partner's financial report and accompanying transaction listing, and supported by valid invoices/receipts.
22. Prepare a Completion Report of no more than eight (8) pages in length (using the template provided by Aus4Skills), which is to be provided to the relevant Aus4Skills VET Project Manager in electronic format.
23. Refrain from issuing or releasing any media statements without the express prior permission of Aus4Skills in each instance. All photos and videos taken by the Preferred provider during their delivery of the activity will be deemed to be the intellectual property of DFAT and may not be used without the written permission of Aus4Skills. However, the Preferred provider can use photos and videos about the activity for social media posts in accordance with the approved *Communications Checklist* for that activity.

### **Health Safety and Security**

24. The security and safety of the preferred provider's personnel in providing the Services (in Australia and Vietnam) is the sole responsibility of the preferred provider.
25. Tetra Tech International Development will not under any circumstances be liable for any claim suffered by the Preferred provider in case of accident, injury or death of the Preferred provider personnel during the delivery of the Services in Australia and Vietnam, except to the extent that the accident, injury or death was caused or contributed to by Tetra Tech International Development.

### **Contract Management**

26. The contract will be managed by the Aus4Skills Senior Education Officer based in Hanoi, Vietnam reporting to the Aus4Skills Program Director.

## **Performance Management and Assessment**

27. The Aus4Skills Senior Education Officer and the Contracts and Services Director will maintain close communication with the preferred provider throughout the period of service delivery and provide regular feedback on service delivery issues as they arise.
28. Following contract completion, Tetra Tech International Development will complete a Partner Performance Assessment (PPA) of the preferred provider to evaluate how well they delivered the Scope of Services specified in their contract with Tetra Tech International Development. Information from PPAs will be used to inform future procurement evaluations, including Tender Evaluation Committees, and delivery partner selection decision making. Upon contract completion, the relevant Aus4Skills VET Project Manager will complete the PPA form (standard format based upon DFAT's PPA to be provided to service providers during contracting) and provide this to the service provider for feedback and comment.

## **Environment**

29. Tetra Tech International Development and DFAT are committed to achieving sustainable development and in reducing the environmental footprint of the Aus4Skills Program. The development objective of reducing the negative impacts of climate change and other environmental factors such as plastic pollution flows from this commitment. Vietnam is among the top five source countries for the eight million tons of plastic that is dumped into the world's oceans each year. In an effort to reduce plastic pollution and raise awareness about this pressing global issue, the Aus4Skills Program encourages contracted providers to take the following into consideration in organising events and activities:
  - use of disposable, single use water bottles, bags, straws, utensils and plates should be minimised or not used at all;
  - drinking water for participants should be supplied from large pitchers or in pitchers with glass or paper cups;
  - paper rather than plastic folders should be used for activity materials; and
  - e-backdrops/banners should be used rather than plastic coated banners.

These commitments extend to service organisations (i.e. hotels) contracted by the Australian delivery partner. Compliance of the Preferred provider with these commitments will be taken into consideration during the PPA process.

## **Confidentiality**

30. The performance of the Services may allow the preferred provider access to information confidential to Tetra Tech International Development or the participant/awardee.
31. The preferred provider must not copy, reproduce or disclose any of the Confidential Information without the prior written consent of Tetra Tech International Development, which consent Tetra Tech International Development may grant or withhold in its absolute discretion.
32. The preferred provider must take all reasonable steps to ensure that the confidentiality of Confidential Information is preserved.

**AM 9267**

**Part C**

**Services Agreement**

## SERVICES AGREEMENT

**THIS AGREEMENT** is made < TETRA TECH INTERNATIONAL DEVELOPMENT WILL INSERT DATE >

**BETWEEN: TETRA TECH INTERNATIONAL DEVELOPMENT PTY LTD**  
ABN 63 007 889 081 of 33 Richmond Road Keswick SA 5035

("Tetra Tech International Development")

**AND** < SERVICE PROVIDER >  
ABN of < address >

("Service Provider")

## RECITALS

- A. Tetra Tech International Development carries on the business of a management consultant and international project manager. The Aus4Skills program is managed by Tetra Tech International Development on behalf of the Australian Government.
- B. Tetra Tech International Development engages the Service Provider to provide the Services and the Service Provider agrees to provide the Services on the terms of this Agreement.

**THE PARTIES AGREE** as follows:

## RECITALS

The recitals are true and form an operative part of this Agreement.

## OPERATIVE

Tetra Tech International Development and the Service Provider promise to carry out and complete their respective obligations in accordance with this Agreement, which includes the Agreement Details and the Schedules.

This Agreement is written in plain English as far as possible. Its terms are to be interpreted so as to give efficacy to the Parties' agreement. No rule resolving a doubt as to interpretation against the Party preparing this Agreement will apply. The specific provisions will not limit the interpretation of general provisions.

## 1. DEFINITIONS

- 1.1. **Agreement** means this agreement and all schedules, annexures and other documents as may be incorporated by reference.
- 1.2. **Agreement Details** means the details set out in schedule 2.
- 1.3. **Authority** means any Governmental or semi-Governmental, statutory, municipal or public authority, person, instrumentality, department or body (whether autonomous or not) charged with the administration of a Law and includes any health, licensing or other authority having jurisdiction over the Services.

- 1.4. **Business Day** means any day that is not a Saturday or Sunday or a public holiday in South Australia.
- 1.5. **Tetra Tech International Development** means Tetra Tech International Development Pty Ltd.
- 1.6. **Tetra Tech International Development Group Member** means any entity which Controls or is Controlled by, or is under common Control with, Tetra Tech International Development.
- 1.7. **Tetra Tech International Development's Representative** means the person specified in schedule 2.
- 1.8. **Commencement Date** means the date specified in schedule 2.
- 1.9. **Completion Date** means the date specified in schedule 2.
- 1.10. **Confidential Information** means all information relating to affairs or business of a party including, but not limited to:
- (a) the terms of this Agreement;
  - (b) trade secrets and confidential know-how;
  - (c) financial, accounting, marketing and technical information and plans, customer and supplier lists, fee rates, tender information, know-how, technology, operating procedures, price lists, data bases, source codes and methodologies, of which the Service Provider becomes aware of or generates (both before and after the day this Agreement is signed) in the course of, or in connection with, the Service Provider's engagement with any Tetra Tech International Development Group Member (including confidential information belonging to any third party including the Commonwealth of Australia represented by DFAT); and
  - (d) all copies, notes and records based on or incorporating the information referred to in clause 1.10(a), 1.10(b) and 1.10(c) but does not include any information that was public knowledge when this Agreement was signed or became so at a later date (other than as a result of a breach of confidentiality by, or involving, the Service Provider).
- 1.11. **Contract Material** means all Material created or required to be developed or created as part of, or for the purpose of performing, the Services.
- 1.12. **Control** of a corporation means having the power (directly or indirectly) to control more than 50% of the membership of the board of directors, more than 50% of the voting shares of the corporation, or otherwise direct or cause the direction of the management and policies of the corporation.
- 1.13. **DFAT** means the Australian Government's Department of Foreign Affairs and Trade.
- 1.14. **Default Event** means those events listed in clause 18.2.
- 1.15. **Deliverables** means the reports and any data or other material specified in schedule 1 required to be delivered throughout the supply of the Services.
- 1.16. **Direction** means any agreement, approval, assessment, authorisation, decision, determination, explanation, instruction, order, permission, rejection, request or requirement given or made by Tetra Tech International Development.
- 1.17. **Escalation Representative** means the person/s appointed by Tetra Tech International Development and the Service Provider respectively to act as their representative for the purposes of resolving any dispute in accordance with clause 24(c) including delegates as the context permits, being the persons specified in schedule 1 as at the date of this Agreement.

- 1.18. **Fraud** means, in relation to the Services, any act of dishonestly obtaining a benefit or causing a loss by deception or other means including: theft; obtaining property, a financial advantage or any other benefit by deception; causing a loss, or avoiding or creating a liability by deception; providing false or misleading information, or failing to provide information where there is an obligation to do so; making, using or possessing forged or falsified documents; bribery, corruption or abuse of position; unlawful use of computers, vehicles, telephones and other property or services; divulging confidential information to outside sources; hacking into, or interfering with computer systems; any offences of a like nature to those listed above; and includes alleged, attempted, suspected or detected fraud.
- 1.19. **GST** means the tax imposed by the GST Law.
- 1.20. **GST Law** has the meaning attributed in the A New Tax System (Goods and Services Tax) Act 1999 (Cth).
- 1.21. **Head Contract** means the contract between Tetra Tech International Development and DFAT in connection with the Services amongst other things.
- 1.22. **Intellectual Property Rights** means all intellectual property rights, including:
- (a) patents, plant breeders' rights, copyright, rights in circuit layouts, registered designs, trademarks, know-how and any right to have Confidential Information kept confidential; and
  - (b) any application or right to apply for registration of any of the rights referred to in clause 14, but for the avoidance of doubt excludes moral rights and performers' rights.
- 1.23. **Laws** includes all Acts of Parliament of the Commonwealth of Australia and of the State of South Australia and the requirements of all ordinances, regulations, by-laws, orders, and proclamations.
- 1.24. **Legislative Requirements** means the relevant legislation, regulations, rules and codes and other associated documents applicable to the Services in the country where the Services are taking place.
- 1.25. **Modern Slavery** includes any conduct which constitutes modern slavery under any Modern Slavery Law, including without limitation slavery, human trafficking, servitude, forced labour and forced marriage.
- 1.26. **Modern Slavery Laws** means the Modern Slavery Act 2018 (Cth), the Modern Slavery Act 2018 (NSW), Divisions 270 and 271 of the Criminal Code 1995 (Cth), the Human Rights Act 2019 (Qld), and any other binding or non-binding guidelines issued by an entity or person so authorised under Modern Slavery Law, and anti-Modern Slavery laws or regulations in force in Australia or otherwise applicable to Tetra Tech International Development or the Subconsultant from time to time with respect to reporting on or addressing the risks of modern slavery, including business operations and supply chains with respect to related purposes.
- 1.27. **Material** means any ideas, discoveries, inventions, information, data, compilations, records, designs, works, technology, software, methods, processes, formulas, names, logos or any other thing of any kind in which Intellectual Property Rights or other rights subsist.
- 1.28. **Notice** means written notice and **notify** has a corresponding meaning.
- 1.29. **Partner Country** means the country/countries in which the Services are to be delivered as specified in the Agreement Details in schedule 2.
- 1.30. **Parties** means the Service Provider and Tetra Tech International Development, and **Party** means any one of them.

- 1.31. **Personnel** means any subcontractors of the Service Providers and the Service Provider's employees, agents and any other person employed or engaged by the Service Provider to perform any part of this Agreement and includes the Service Provider's Representative.
- 1.32. **Pre-Existing Service Provider Material** means any Material made available by the Service Provider for use in the provision of the Services that existed prior to Commencement Date and was developed by the Service Provider independently from this Agreement.
- 1.33. **Prices** means the prices set out in or determined in accordance with schedule 2.
- 1.34. **Relevant List** means a list of terrorist organisations made under Division 102 of the *Criminal Code Act 1995* (Cth) and the charter of the *United Nations Act 1945* (Cth).
- 1.35. **Representative** means either of the persons nominated as the Service Provider's Representative and Tetra Tech International Development's Representative from time to time including delegates as the context permits. Each Party's Representative as at the Commencement Date is specified in schedule 2. Either Party may substitute and replace its Representative with reasonable written notice delivered to the other Party.
- 1.36. **Requirement** includes any requirement, notice, order, direction, recommendation, stipulation or similar notification received from or given by any Authority or under any Law, whether in writing or otherwise and regardless of to whom it is addressed or directed.
- 1.37. **Scope of Services** means any specifications for the Services in schedule 1.
- 1.38. **Services** means the services specified in schedule 1.
- 1.39. **Service Provider** means the Service Provider contracted to perform the Services under this Agreement.
- 1.40. **Service Provider's Representative** means the person identified in schedule 2.
- 1.41. **Tax** means any income (including payroll), land, indirect and other taxes, excise, levies, imposts, deductions, charges, duties, compulsory loans and withholdings, including financial institutions duty, debits tax or other taxes and includes any interest, penalties, charges, fees, fines or other amounts imposed in respect of any of the above, but does not include GST.
- 1.42. **Term** means the term of this Agreement which commences on the Commencement Date and ends on the Completion Date.
- 1.43. **Third Party Material** means any material made available by the Service Provider for the purpose of the Agreement in which a third party holds Intellectual Property Rights.
- 1.44. **Variation** means a change to the Services.
- 1.45. **WHS Legislation** means all applicable Laws and all applicable Requirements (including in the jurisdiction in which the Services are to be performed) regulating matters of occupational health, safety or security.
- 1.46. **Withholding Payment** has the meaning given in Schedule 1 of the Taxation Administration Act 1953 (Cth).

## 2 INTERPRETATION

- 2.1 In this Agreement (unless the context requires otherwise):
- (a) the singular includes the plural;
  - (b) the plural includes the singular;
  - (c) a reference to one gender includes every other gender;



- (d) words referring to individuals include corporations, unincorporated associations, partnerships, trusts and joint ventures;
- (e) a reference to a Party includes that Party's administrators, successors and permitted assigns;
- (f) where the day on or by which something is to be done is not a Business Day, that thing may be done on or by the next Business Day;
- (g) a reference to a clause, subclause, schedule or attachment is a reference to a clause, subclause, schedule or attachment of this Agreement;
- (h) a reference to a clause number includes its subclauses;
- (i) the word "or" is not exclusive;
- (j) other parts of speech and grammatical forms of a word or phrase defined in this Agreement have a corresponding meaning;
- (k) a reference to any thing (including any right) includes any part of that thing, but performance of part of an obligation does not constitute performance of the entire obligation;
- (l) a reference to any legislation includes all delegated legislation made under it and any amendments, consolidations, replacements or re-enactments;
- (m) a reference to a document includes all amendments or supplements to, or replacements or novations of, that document;
- (n) a promise on the part of 2 or more persons binds them jointly and severally;
- (o) a reference to an asset includes property of any nature, a business, right, revenue and benefit;
- (p) headings are for convenience only and do not affect the interpretation of this Agreement;
- (q) a reference to a body, other than a party to this Agreement (including an institute, association or authority), whether statutory or not which ceases to exist or whose powers or functions are transferred to another body, is a reference to the body which replaces it or substantially succeeds to its powers or functions; and
- (r) specifying anything in this Agreement after the words 'includes', 'including' or 'for example' or similar expressions does not limit what else is included.

2.2 This Agreement between the Parties comprises these standard terms and conditions and all the documents comprised in, and incorporated by reference to, the schedules. If any of the documents comprising this Agreement are inconsistent, they take priority in the following order:

- (a) the special conditions (if any) in schedule 1;
- (b) these standard terms and conditions (excluding schedule 2);
- (c) schedule 2,

provided that any provision which imposes a greater or higher requirement, standard, level of service or scope on the Service Provider will prevail.

### **3 TERM**

- 3.1 This Agreement commences on the Commencement Date and continues for the Term as set out in the Agreement Details in schedule 2 or until all obligations under this Agreement have been fulfilled, or this Agreement is terminated, whichever is earlier.
- 3.2 The Service Provider must commence provision of the Services on the Commencement Date and complete the provision of the Services by the Completion Date as set out in the Agreement Details in schedule 2.
- 3.3 The Term of this Agreement may be extended as agreed between the Parties in writing and subject to the Service Provider's performance, availability of funding and DFAT approval.
- 3.4 The Service Provider and Tetra Tech International Development acknowledge and agree that, to the extent that any of the Services have been performed by the Service Provider prior to the Commencement Date, the provisions of this Agreement will also apply to those Services.
- 3.5** The Service Provider grants to Tetra Tech International Development an option to extend the Term of this Agreement for a period of up to XXXXX. Tetra Tech International Development may exercise the option by notifying the Service Provider in writing prior to the date of completion of the Services specified in clause 3.2. If Tetra Tech International Development exercises the option, the Service Provider must continue to provide the Services for the extended term, unless this Agreement is terminated earlier in accordance with this Agreement, on the terms and conditions contained in this Agreement.

### **4 SERVICES**

#### ***Provision of Services***

- 4.1 The Service Provider must provide the Services described in the schedule 1 in accordance with the terms and conditions of this Agreement.
- 4.2 The Service Provider must either complete the Services by the Completion Date or provide the Services at all agreed times during the Term, as specified in this Services Agreement. Tetra Tech International Development may inspect the performance and outcome of the Services at any time.
- 4.3 Unless this Agreement states otherwise, the Services may be provided by the Service Provider either personally or through the employment/subcontracting of other persons.
- 4.4 In providing the Services the Service Provider must:
- (a) complete the Services in accordance with the description in schedule 1.
  - (b) comply with any reasonable Direction given by or on behalf of Tetra Tech International Development from time to time;
  - (c) comply with all policies, procedures and directives of Tetra Tech International Development so far as they are made known to the Service Provider;
  - (d) comply with all applicable standards, Laws and regulations;
  - (e) take all reasonable practical measures to ensure the Service Provider's own safety and the safety of the Service Provider's Personnel;
  - (f) provide and use appropriate safety equipment and clothing and require employees of the Service Provider to use that equipment;
  - (g) provide all labour, materials, plant, utilities and equipment necessary to perform the Service Provider's obligations under the Agreement;

- (h) provide Tetra Tech International Development on request with written evidence of the Service Provider having Work Cover (or other like worker's compensation scheme participation) and prescribed payments taxation registration (if applicable).
- 4.5 If the Services do not meet their purpose or are not in accordance with this Agreement, Tetra Tech International Development may by notice require the Service Provider to redo the Services at no additional cost to Tetra Tech International Development.
- 4.6 Where the Service Provider fails to:
- (a) remedy a defect in performance of the Services; or
  - (b) redo the Services within the time specified in a notice given by Tetra Tech International Development under clause 4.5;

Tetra Tech International Development may, in its discretion, arrange for the performance of the necessary work on behalf of the Service Provider at the Service Provider's sole risk and expense and recover the cost and expenses from the Service Provider as a debt due and payable.

#### ***Suspension of Services***

- 4.7 Tetra Tech International Development may Direct the Service Provider to suspend any of the Services for such time as Tetra Tech International Development thinks fit, in which case the Service Provider must comply with that Direction.
- 4.8 Tetra Tech International Development may at any time give the Service Provider a written notice to resume performing any suspended Services, in which case the Service Provider must do so as soon as practicable after the date of the notice.
- 4.9 Any cost incurred by the Service Provider by reason of a suspension under clause 4.7 must be borne by the Service Provider unless the suspension is needed due to an act or omission by Tetra Tech International Development, its employees, consultants or agents, or is solely for Tetra Tech International Development's convenience, in which case Tetra Tech International Development must pay the Service Provider any extra costs reasonably and necessarily incurred by the Service Provider as a result of the suspension, as reasonably determined by Tetra Tech International Development.
- 4.10 Tetra Tech International Development will not be liable for, or in connection with, (and the Service Provider may not make) any loss, claim or demand in connection with any suspension except under clause 4.9.

#### ***DFAT Funding and limitation of liability***

- 4.11 The Service Provider acknowledges that if there is a policy or funding decision which impacts upon Australia's overseas development assistance budget and associated programs, without limiting any other rights or remedies available to Tetra Tech International Development under this Agreement or DFAT under the Head Contract, DFAT may reduce funding available to Tetra Tech International Development under the Head Contract which relates in whole or in part to the Services under this Agreement and in that event Tetra Tech International Development may reduce the whole or part of the Prices for the Services under this Agreement as determined by Tetra Tech International Development (acting reasonably) after discussions with the Service Provider as contemplated in clause 4.12.
- 4.12 Upon Tetra Tech International Development receiving notice from DFAT of policy or funding decisions as contemplated by clause 4.11, Tetra Tech International Development will notify the Service Provider and the Service Provider will discuss with Tetra Tech International Development, in good faith, a reduction of the Prices under this Agreement having regard to the reduction of DFAT's funding to Tetra Tech International Development.

## **5 VARIATIONS**

- 5.1 Tetra Tech International Development may at any time direct the Service Provider to perform a Variation. All such Directions must be in writing and specify that they direct a Variation.
- 5.2 The Service Provider must not carry out a Variation unless directed to do so by Tetra Tech International Development in writing.
- 5.3 Where the Service Provider proposes a Variation for its own convenience, it must provide all information reasonably required by Tetra Tech International Development. The Service Provider acknowledges that Tetra Tech International Development may approve or reject the proposed Variation at its sole discretion and is not required to have regard to the interests of the Service Provider when making its decision.
- 5.4 If the Service Provider considers any Direction requires a Variation but the Direction is not in writing or does not specify that it directs a Variation, the Service Provider must promptly notify Tetra Tech International Development in writing setting out why the Service Provider considers the Direction requires a Variation. In that case the Service Provider must not comply with the Direction unless the Service Provider receives a written:
- (a) Direction specifying a Variation; or
  - (b) notice Tetra Tech International Development disagrees, stating its reasons.
- 5.5 If a notice is issued under clause 5.4(b), the Service Provider must comply with the Direction but may, within 20 Business Days, dispute Tetra Tech International Development's notice under clause 5.4(b) by giving notice under clause 24.
- 5.6 The Service Provider acknowledges that Tetra Tech International Development is not liable for or in connection with (and the Service Provider may not make) any claim relating to any Variation except where such Variation is pursuant to a Direction in accordance with clause 5.1.
- 5.7 The Prices may be adjusted for each Variation. Unless the amount of the adjustment is agreed, the adjustment may be calculated by Tetra Tech International Development on the basis of applicable rates or fees in this Agreement or, if none, then reasonable rates or fees.
- 5.8 The Service Provider is not obliged to perform a Variation that is outside the general Scope of the Services contained in schedule 1.
- 5.9 The Service Provider agrees that no Variation will invalidate this Agreement.

## **6 DELIVERABLES**

- 6.1 If the Service Provider is to provide Deliverables then the details of the Deliverables (if any) set out in schedule 1, including the delivery dates and the form and content requirements, must be complied with by the Service Provider.
- 6.2 Title in the Deliverables will vest in Tetra Tech International Development on their delivery to Tetra Tech International Development.

## **7 SERVICE PROVIDER'S WARRANTIES**

- 7.1 The Service Provider warrants and represents that the Services will:

- (a) be provided in full, with due care and skill;
  - (b) be provided to a professional standard and in a timely manner;
  - (c) be provided in the most cost-effective manner and using suitable materials;
  - (d) be complete and in accordance with the description in this Agreement;
  - (e) be performed by the Service Provider and/or its Personnel; and
  - (f) be performed to the specifications detailed in the Scope of Services (if any).
- 7.2 The Service Provider warrants and represents that it has made its own assessment of all information made available to the Service Provider in respect of the Services and sought all appropriate professional advice.
- 7.3 The Service Provider acknowledges and agrees that Tetra Tech International Development is relying on the representations and warranties made by the Service Provider in connection with this Agreement (including the warranties and representations set out in this clause 7).

## **8 SERVICE PROVIDER'S RELATIONSHIP AND CONDUCT**

- 8.1 The Service Provider must:
- (a) conduct itself in a manner that does not invite, directly or indirectly, Tetra Tech International Development's officers, employees or agents to behave unethically, to prefer private interests over Tetra Tech International Development's interests or to otherwise contravene the Tetra Tech International Development Code of Conduct and Client Service Standards as stated in schedule 4 or any Code of Ethics for the Australian Government, and,
  - (b) ensure that its Personnel observe and comply with the provisions of this Agreement.
- 8.2 Nothing in this Agreement (including this clause 8) constitutes a relationship of employer and employee, principal and agent, or partnership between Tetra Tech International Development and the Service Provider.
- 8.3 The Service Provider acknowledges that this Agreement (including this clause 8) does not give the Service Provider or the Service Provider's employees authority to bind Tetra Tech International Development.
- 8.4 The Service Provider must not and must ensure that the Service Provider's employees do not, directly or indirectly assume or create or attempt to assume or create any obligation on behalf of or in the name of Tetra Tech International Development.

## **9 SERVICE PROVIDER'S REPRESENTATIONS**

- 9.1 The Service Provider warrants and represents that prior to entering this Agreement it has made such enquiries and examined such information as it considers necessary to satisfy itself:
- (a) as to the nature, scope extent and degree of difficulty of the services to be performed by it pursuant to this Agreement; and
  - (b) as to the availability of suitably qualified and experienced personnel, and all other facilities and information which it is required to provide for the purpose of supplying the Services.
- 9.2 The Service Provider warrants and represents that, at the date of signing this Agreement, no conflict of interest exists, or is likely to arise in the performance of its obligations under this Agreement.

- 9.3 The Service Provider must use its best endeavours to ensure that no conflict of interest arises in relation to the performance of any aspect of this Agreement.

## **10 NO MINIMUM PURCHASE**

Tetra Tech International Development is under no obligation to purchase a minimum quantity of Services from the Service Provider during the Term.

## **11 NON-EXCLUSIVITY**

- 11.1 This Agreement is entered into on a non-exclusive basis.
- 11.2 Tetra Tech International Development may purchase other services similar to the Services in this Agreement from other providers.

## **12 PRICE**

- 12.1 In consideration for the supply of the Services, Tetra Tech International Development will pay the Prices.
- 12.2 The Prices include all taxes, duties or government charges imposed or levied in Australia or overseas in connection with this Agreement.
- 12.3 The Prices include all costs of compliance with the Service Provider's obligations under this Agreement. No other costs or expenses are payable by Tetra Tech International Development.

## **13 TERMS OF PAYMENT**

- 13.1 The Service Provider must submit to Tetra Tech International Development correctly rendered invoices.
- 13.2 An invoice will be correctly rendered if:
- (a) it complies with the requirements of this Agreement; and
  - (b) if appropriate and required by Tetra Tech International Development, it is accompanied by documentation substantiating the amount claimed.
- 13.3 Unless this Agreement states otherwise, Tetra Tech International Development will pay for the Services within 30 days after:
- (a) completion of the Services; or
  - (b) receipt of a correctly rendered invoice for the Services,
- whichever occurs later.
- 13.4 A payment by Tetra Tech International Development to the Service Provider is not an admission of liability.
- 13.5 If Tetra Tech International Development makes a payment and subsequently learns that performance specifications have not been met or that, on review, the amount is greater than the amount payable under this Agreement, the payment is deemed an overpayment and recoverable from the Service Provider.
- 13.6 Any overpayment may be offset against any amount subsequently due to the Service Provider or may be recovered in Court as a debt due and payable to Tetra Tech International Development.

- 13.7 The Service Provider agrees that any amount paid by Tetra Tech International Development under this Agreement can be taken to offset against any claims of underpayment at a later date.

## **14 INTELLECTUAL PROPERTY RIGHTS**

- 14.1 This clause 14 does not affect the ownership of the Intellectual Property Rights in any Pre-Existing Service Provider Material or Third-Party Material.
- 14.2 The Service Provider must obtain all necessary copyright and other Intellectual Property Rights permissions before making any Pre-Existing Service Provider Material or Third-Party Material available as part of the Services.
- 14.3 All Intellectual Property Rights in the Contract Material vest in Tetra Tech International Development on creation.
- 14.4 To the extent that:
- (a) Tetra Tech International Development needs to use any of the Pre-Existing Service Provider Material or Third-Party Material to receive the full benefit of the Services, the Service Provider grants to, or must obtain for Tetra Tech International Development, a perpetual, world-wide, royalty free, non-exclusive licence that includes the right to sublicense, use, reproduce, adapt, modify and communicate that Pre-Existing Service Provider Material or Third-Party Material;
  - (b) the Service Provider needs to use any of the Contract Material, Tetra Tech International Development grants to the Service Provider, subject to any conditions or restrictions specified by Tetra Tech International Development, a world-wide, royalty-free, non-exclusive, non-transferable licence that includes the right to sublicense, use, reproduce, adapt, modify, distribute and communicate such Contract Material solely for the purpose of providing the Services.
- 14.5 The licence granted to Tetra Tech International Development under Clause 14.4(a) does not include a right to exploit the Pre-Existing Service Provider Material or Third-Party Material for commercial purposes.
- 14.6 The licence granted to the Service Provider under Clause 14.4(b) does not include a right to exploit the Contract Material for commercial purposes.
- 14.7 The Service Provider indemnifies Tetra Tech International Development, its officers, employees and agents against all loss, damage or expense arising in respect of any action or claim for alleged infringement of any patent, copyright, registered design, trade mark or any other Intellectual Property Rights, by reason of Tetra Tech International Development receipt or enjoyment of the Services.

## **15 INDEMNITY**

- 15.1 The Service Provider indemnifies, and undertakes to keep indemnified, Tetra Tech International Development and Tetra Tech International Development's officers, employees, agents and contractors, from and against any costs, losses, damages, expenses (including legal expenses), liabilities or other outgoings of whatever kind suffered or incurred by Tetra Tech International Development or Tetra Tech International Development's officers, employees, agents and contractors arising out of or in connection with:
- (a) any negligence, wrongful act or omission, wilful default, wilful neglect, Fraud or breach of duty by the Service Provider or any of its Personnel;
  - (b) any breach of a warranty given by the Service Provider under this Agreement;

- (c) any Default Event or breach by the Service Provider of any of the provisions of this Agreement;
  - (d) loss of, or damage to, any real or personal property owned, leased licensed or controlled by Tetra Tech International Development, or any real or personal property of any third party, arising out of or in connection with the performance of the Services or any activity for which the Service Provider is directly or indirectly responsible;
  - (e) personal injury (which includes illness) or death of any person arising out of or in connection with the performance of the Services or any activity for which the Service provider is directly or indirectly responsible; and
  - (f) any contamination which has been caused or contributed to by the acts or omissions of the Service Provider or its Personnel.
- 15.2 The Service Provider's liability to indemnify Tetra Tech International Development under this clause 15 will be reduced proportionately to the extent that Tetra Tech International Development's negligent acts or omissions contributed to the relevant loss or liability indemnified.
- 15.3 This clause will survive termination of this Agreement.

## 16 INSURANCE

- 16.1 The Service Provider must effect and maintain all insurance policies set out in schedule 2, and must ensure its subcontractors effect and/or maintain the same insurance cover, from insurers acceptable to Tetra Tech International Development (**'Insurable Policies'**).
- 16.2 The Insurance Policies must be in the name of the Service Provider and must be adequate to cover the Service Provider for its respective rights, interests and liabilities including any right, interest and liability arising out of or in connection with any subcontracted Services.
- 16.3 The Insurance Policies may only be cancelled or changed if:
- (a) the cancellation or change will not constitute a breach of this Agreement; and
  - (b) the Service Provider has provided at least 14 days' prior written notice to Tetra Tech International Development.
- 16.4 The obtaining of any insurance by the Service Provider in accordance with this clause 16 does not in any way reduce, limit or otherwise affect any obligations, liabilities or warranties of the Service Provider under any other provision of this Agreement or otherwise at Law.
- 16.5 The Service Provider must pay all premiums and all deductibles applicable to the Insurance Policies when due and promptly reinstate any insurance required under this clause 16 if it lapses or if cover is exhausted.
- 16.6 Where specified in schedule 2, the Insurance Policies must:
- (a) include Tetra Tech International Development as an additional insured but only to the extent necessary to provide coverage for the liabilities assumed by the Service Provider under this Agreement;
  - (b) waive any express or implied rights of subrogation by insurers against Tetra Tech International Development but only to the extent of the indemnities given and the liabilities assumed by the Service Provider;
  - (c) provide that the insurance is primary with respect to the interests of Tetra Tech International Development and any other insurance maintained by Tetra Tech International Development is excess to and not contributory with the Insurance Policies; and



- (d) include a cross liability endorsement that the policy must operate in the same manner as if there was a separate policy of insurance covering each party insured and a failure by any insured party to observe and fulfil the terms and conditions will not affect any other party.
- 16.7 The Service Provider must effect and/or maintain the Insurance Policies referred to in this clause 16 on or before the date of execution of this Agreement until, subject to clause 16.8, the end of the Term.
- 16.8 If the wording of an Insurance Policy required by this clause 16 is constructed on a claims made basis, the insurance must be maintained without interruption for a period of 7 years after the end of the Term.
- 16.9 Before the date of execution of this Agreement, and within 14 days of request by Tetra Tech International Development, the Service Provider must give to Tetra Tech International Development certificates of insurance and such other proof of compliance with the provisions of this clause 16 as Tetra Tech International Development may reasonably require.
- 16.10 The Service Provider must comply with the terms of the Insurance Policies, and the Service Provider must not do or omit to do any act that would be grounds for an insurer to refuse to pay a claim made under any of the Insurance Policies.
- 16.11 If the Service Provider fails to comply with this clause 16, Tetra Tech International Development may (in addition to any other rights Tetra Tech International Development may have) at its sole discretion and at the Service Provider's sole cost delay the commencement of the Services, suspend the performance of the Services, deny access to any relevant site and/or refuse any payment in respect of the Services, until such time as the Service Provider has fully complied with this clause 16.
- 16.12 The Insurance Policies are primary and not secondary to the indemnities in this Agreement. However, Tetra Tech International Development is not obliged to make a claim or institute proceedings against any insurer under the Insurance Policies before enforcing any of its rights or remedies under the indemnities in this Agreement, or generally. In addition, the parties acknowledge that if a claim is made under an Insurance Policy by Tetra Tech International Development, it is their intention that the insurer cannot require Tetra Tech International Development to exhaust any indemnities referred to in this Agreement before the insurer considers or meets the relevant claim.
- 16.13 Tetra Tech International Development, in specifying levels of insurance in this Agreement, accepts no liability for the completeness of their listing, the adequacy of the sum insured, limit of liability, scope of coverage, conditions or exclusions of those insurances in respect of how they may or may not respond to any loss, damage or liability.
- 16.14 The Service Provider acknowledges and agrees that it is the Service Provider's responsibility to assess and consider the risks and scope of insurances required under this Agreement.
- 16.15 The Service Provider acknowledges that regardless of whether the Insurance Policies respond or not and why, the Service Provider is not released (in whole or in part) from any of the indemnities referred to in this Agreement, or generally.

## **17 FORCE MAJEURE**

- 17.1 "**Force Majeure Event**" is limited to the following specific events or circumstances: earthquake, landslide, fire, explosion, war, invasion, act of foreign enemies, hostilities, civil war, rebellion, revolution, insurrection, military or usurped power, martial law or confiscation by order of any government or authority, ionising radiations or contamination by radioactivity from any nuclear fuel or from any nuclear waste from the combustion of nuclear fuel, riot, civil

disturbance, blockade or acts of terrorism, pandemic or epidemic which prevents a Party (**“the Affected Party”**) from complying with any of its obligations under this Agreement and which that the Affected Party:

- (a) did not cause or contribute to (by breach of this Agreement or otherwise);
- (b) cannot reasonably control or influence; and
- (c) where the Affected Party is the Service Provider, cannot be prevented or avoided or overcome, through prudent management processes, policies and precautions, including the use of alternative resources, the procuring of services from another source and work around plans.

17.2 If either party is prevented from performing any obligations under this Agreement by a Force Majeure Event, then provided that the Affected Party has complied with clause 17.2, such obligations will be suspended, and the Affected Party will have no liability to the other party for failure to perform them, to the extent their performance is prevented by the Force Majeure Event.

17.3 The Affected Party must:

- (a) notify the other Party immediately if a Force Majeure Event is preventing it, or is likely to prevent it, from complying with any of its obligations as soon as it becomes aware of the Force Majeure Event and provide full details of the Force Majeure Event including:
  - (1) the obligations affected;
  - (2) the nature, extent and likely duration of the effect on those obligations; and
  - (3) the actions taken or proposed to be taken by the Affected Party to remedy, abate, mitigate or minimise the effects of the Force Majeure Event;
- (b) use all reasonable diligence and means to remedy, abate, mitigate or minimise the effect of the Force Majeure Event;
- (c) notify the other party in writing when resumption of performance can occur; and
- (d) promptly resume performance (and give notice of such resumption) as soon as reasonably possible.

17.4 The Affected Party must bear its own costs incurred in connection with a Force Majeure Event and the other Party is not liable for (and the Affected Party may not make) any claim or demand relating to a Force Majeure Event.

## **18 TERMINATION**

18.1 At any time after a Default Event occurs Tetra Tech International Development may terminate this Agreement with immediate effect by giving notice in writing to the Service Provider.

18.2 A Default Event will be taken to have occurred if any of the following events occurs:

- (a) the Service Provider fails to start providing the Services on the Commencement Date;
- (b) the Service Provider fails to proceed at a rate likely to achieve completion of the Services by the Completion Date;
- (c) the Service Provider fails to complete the Services by the Completion Date;
- (d) the Service Provider ceases to provide the Services at any time during the Term (if any) specified in this Agreement;
- (e) the Service Provider fails to:

- (i) provide and pay for appropriate insurance as required by clause 16; or
  - (ii) provide Tetra Tech International Development with proof of appropriate insurance upon request by Tetra Tech International Development as required by clause 16;
- (f) the Service Provider breaches any other provision of this Agreement (including these Conditions) and fails to remedy the breach within 30 days after receiving written notice requiring that to be done;
- (g) any step is taken to enter into any arrangement between the Service Provider and the Service Provider's creditors;
- (h) the Service Provider ceases to be able to pay the Service Provider's debts as they become due;
- (i) the Service Provider ceases to carry on business; or
- (j) any step is taken to appoint a receiver, a receiver and manager, a trustee in bankruptcy, a liquidator, a provisional liquidator or other like person of the whole or any part of the Service Provider's assets or business.
- 18.3 Where, before termination of this Agreement under this clause 18, Tetra Tech International Development has made payment to the Service Provider in advance of Services performed and delivered, the Service Provider must on termination repay that amount to Tetra Tech International Development. If not so re-paid, the amount is recoverable by Tetra Tech International Development from the Service Provider as a debt.
- 18.4 If this Agreement is terminated under this clause 18:
- (a) the Parties are relieved from future performance without prejudice to any right of action that has accrued at the date of termination;
  - (b) rights to recover damages are not affected; and
  - (c) the Service Provider indemnifies Tetra Tech International Development in respect of any additional cost Tetra Tech International Development may incur in purchasing similar services from alternative suppliers.

## **19 TERMINATION FOR CONVENIENCE**

- 19.1 The Service Provider acknowledges that, if there is a policy or funding decision which impacts upon Australia's overseas development assistance budget and associated programs, DFAT has an unfettered discretion to, by notice to Tetra Tech International Development, terminate or reduce the scope of the Head Contract.
- 19.2 Without limiting Tetra Tech International Development's rights under this Agreement, at law or in equity, Tetra Tech International Development's rights under this clause 19.2 include the discretion to terminate immediately on notice or reduce the scope of this Agreement if DFAT determines that the continuation of this Agreement, or the continuation of a program or initiative for the purposes of which this Agreement was entered into, does not support the achievement of value for money by DFAT.
- 19.3 The Parties acknowledge and agree that such a determination by DFAT may be made in the absence of a breach of this Agreement by the Service Provider and due to circumstances beyond the Service Provider's and Tetra Tech International Development's control.
- 19.4 If Tetra Tech International Development terminates this Agreement under this clause 19, Tetra Tech International Development will only be liable to the Service Provider for the following loss

or damage incurred as a direct consequence of termination of this Agreement to the extent that they can be reasonably substantiated and are unable to be avoided or mitigated:

- (a) fees and any Reimbursable Costs, as payable under schedule 2 (Agreement Details) for Services provided before the effective date of termination (on a pro-rata basis, if applicable); and
- (b) excluding all other loss or damage, including the cost of redundancies, the costs of terminating any subcontracts, loss of profits and all other forms of expectation loss.

19.5 Tetra Tech International Development is not obliged to make any further payments to the Service Provider (whether under this Agreement, at law or in equity) if Tetra Tech International Development exercises its rights in clause 19.2 except as expressly provided under this clause 19.

## **20 CONFIDENTIALITY**

20.1 Subject to laws requiring the disclosing of information or documents, the Parties agree all information obtained in connection with or incidental to the Services, including Confidential Information, are confidential as between the Parties and neither Party will without the prior written consent of the other disclose any of the Confidential Material to any third Party.

20.2 Neither Party, nor their Personnel may disclose, use or make public the Confidential Information of the other Party (or Confidential Information disclosed by or on behalf of the other) unless required by law, necessary for legal proceedings, provided with the written consent of the relevant Party, or required to manage this Agreement. If the Confidential Information is required to be disclosed under this clause 20.2, a Party must use all reasonable endeavours to ensure that persons receiving the Confidential Information do not further disclose the information.

20.3 If a Party is required to disclose Confidential Information by law or for legal proceedings, the disclosing Party must provide reasonable notice to the other Party.

## **21 GOVERNING LAW AND JURISDICTION**

This Agreement and any transactions contemplated under this Agreement are governed by and are to be construed in accordance with the laws of South Australia. Each Party to this Agreement unconditionally submits to the exclusive jurisdiction of the courts of South Australia.

## **22 PRIVACY**

22.1 The Service Provider is a "Contracted Service Provider" within the meaning of the Privacy Act 1988 (Cth) and, to the extent that it deals with personal information in the provision of Services, agrees to:

- (a) comply with the Australian Privacy Principles as they apply to Tetra Tech International Development, including:
  - (i) to use or disclose personal information only for the purposes of this Agreement;
  - (ii) take necessary steps to ensure adequate security measures are in place to protect personal information from misuse, interference and loss and from unauthorised access, modification or disclosure;
  - (iii) comply with the requirements of Australian Privacy Principle 8 regarding the disclosure of personal information to an overseas recipient;

- (b) not do any act, or engage in any practice that would, if done in or engaged in by Tetra Tech International Development, breach the Australian Privacy Principles;
- (c) comply with any reasonable request or direction of Tetra Tech International Development or the Privacy Commissioner in relation to access to, or handling of, personal information;
- (d) immediately notify Tetra Tech International Development if it becomes aware of a breach or possible breach of any obligations referred to in this Clause, or the initiation of any action by the Privacy Commissioner relevant to this Agreement; and
- (e) investigate any complaint arising out of a breach or possible breach of any obligations referred to in this clause and notify Tetra Tech International Development of that investigation and outcome.

22.2 The Service Provider agrees to indemnify Tetra Tech International Development in respect of any loss, liability or expense suffered or incurred by Tetra Tech International Development which arises directly from a breach by the Service Provider of any obligations referred to in this clause.

## **23 TAXES**

23.1 Unless otherwise indicated, the amount payable under this Agreement for each supply of Services under this Agreement is the value of that supply plus any GST imposed under the GST Law. Payment by Tetra Tech International Development to the Service Provider of the GST is subject to the Service Provider providing Tetra Tech International Development with a valid GST Invoice issued in accordance with the relevant provisions of the GST Law and regulations.

23.2 The total amount of GST payable by the Service Provider and for which the Service Provider seeks payment from Tetra Tech International Development in respect of the supply must be shown as a separate item on the Service Provider's Tax Invoice.

23.3 If the GST payable for any taxable supply under this Agreement varies from the additional amount payable under clause 23.1 as a result of an adjustment event, any additional GST must be paid by the recipient, or any credit of GST must be refunded by the supplier, upon receipt of an adjustment note from the supplier.

23.4 Where the recipient is required to reimburse or indemnify the supplier under this Agreement, the supplier shall take into account any input tax credit to which it is entitled before increasing the amount of the reimbursement or indemnity on account of GST under this clause.

23.5 The Service Provider must pay all Taxes in connection with this Agreement (not including GST) and indemnifies and holds harmless Tetra Tech International Development against any such Taxes.

## **24 DISPUTE RESOLUTION**

24.1 If a dispute arises under this Agreement, prior to commencing any arbitration or court proceedings (other than for interlocutory relief or where an authority of the Commonwealth, a State or Territory is investigating a breach or suspected breach of the law by the Service Provider, or Tetra Tech International Development is exercising a right to terminate) the Parties must act in good faith and use their reasonable endeavours to resolve the dispute as follows:

- (a) the Party claiming that there is a dispute must give the other a written notice in accordance with clause 25 setting out the nature of the dispute;

- (b) within 10 Business Days following notice, attempt to resolve the dispute through direct negotiation between the Service Provider Representative and Tetra Tech International Development Representative;
  - (c) if still unresolved, refer the dispute to each Party's Escalation Representatives, who must in good faith work to resolve the dispute within a further 10 Business Days or any other agreed period;
  - (d) if still unresolved, the Parties have 30 Business Days from the receipt of the notice to reach a resolution or to agree that the dispute is to be submitted to mediation or conciliation rather than litigation or arbitration; and
  - (e) if the dispute is not resolved in that time or there is no agreement to, or submission of the dispute to mediation or conciliation within a further 30 Business Days, then either Party may commence legal proceedings.
- 24.2 The Escalation Representative may delegate all or some of his or her powers in relation to resolving the dispute and, notwithstanding anything in this clause 24, the Escalation Representative of either Party may be substituted and replaced with reasonable written notice delivered to the other Party.
- 24.3 Notwithstanding any existing dispute between the Parties, or that legal proceedings are pending or current, and subject to clause 13, each Party and its Personnel must continue to comply with their obligations under this Agreement.

## **25 NOTICES**

- 25.1 A notice given under this Agreement:
- (a) must be in writing, signed by the Representative, or other authorised officer, marked for the attention of the person set out in schedule 2, and sent to that person's relevant address, by prepaid ordinary post (airmail if posted to or from a place outside Australia), or by email to the person's email address; and
  - (b) will be taken to be received on the date it is delivered (if hand-delivered to the Party), in the case of a pre-paid letter sent by ordinary mail, on the third Business Day after posting (or seventh if posted to or from a place outside of Australia), or in the case of email, when it is delivered to a system from which the addressee can retrieve it.

## **26 COUNTER-TERRORISM**

The Service Provider must ensure that funds provided under this Agreement (whether through a subcontract or not) do not provide direct or indirect support or resources to:

- (a) organisations and/or individuals associated with terrorism, or
- (b) organisations and individuals for whom Australia has imposed sanctions under:
  - (i) the Charter of the *United Nations Act 1945* (Cth) and regulations made under that Act;
  - (ii) the *Autonomous Sanctions Act 2011* (Cth) and regulations made under that Act; or
  - (iii) the World Bank List or a Relevant List.

## **27 MODERN SLAVERY**

- 27.1 The Service Provider warrants and agrees that:

- (a) it has not engaged, and will not engage, in any Modern Slavery practices;
  - (b) it complies with and will continue to comply with Modern Slavery Laws;
  - (c) it has investigated the risk of Modern Slavery within its operations, and those of its supply chain;
  - (d) it assesses and addresses risks regarding Modern Slavery, including implementing appropriate due diligence and, where required, remediation programs;
  - (e) it will, as soon as possible, notify Tetra Tech International Development in writing of any confirmed instances of Modern Slavery arising directly or indirectly in relation to this Agreement or the Project and the actions undertaken by it to remedy the issue;
  - (f) it has all the necessary processes, procedures, investigations and compliance systems in place to undertake the actions in clauses 27.1(a) to (e).
- 27.2 The Service Provider must comply (and ensure that its subcontractors comply) with any requests made by Tetra Tech International Development to provide any assistance, information, documents or interview any person as required by the Tetra Tech International Development to enable Tetra Tech International Development to discharge any obligations arising under the Modern Slavery Laws.
- 27.3 The Service Provider indemnifies Tetra Tech International Development against all actions, claims, demands and proceedings against Tetra Tech International Development, and all losses, damages, costs, expenses and other liabilities suffered or incurred by Tetra Tech International Development, arising from any failure by the Service Provider to comply with its obligations under this clause 27.

## **28 FRAUD**

- 28.1 The Service Provider must not, and must ensure that its Personnel do not, engage in any Fraud and must prevent and detect Fraud, including Fraud by its Personnel.
- 28.2 The Service Provider must ensure that its Personnel are responsible and accountable to the Service Provider for preventing and reporting any Fraud as part of their routine responsibilities.
- 28.3 If the Service Provider becomes aware of a Fraud, it must report the matter to Tetra Tech International Development in writing as soon as reasonably possible and in any event, within five (5) Business Days of becoming aware of such event. The written report to Tetra Tech International Development must be signed by a Service Provider authorised person and must include the following (where known):
- (a) name of any Personnel (including any subcontractors) involved;
  - (b) the allegation(s), including a chronological account of the facts giving rise to the allegation(s);
  - (c) the names of the suspected offender(s) (where known);
  - (d) details of witnesses;
  - (e) copies of relevant documents;
  - (f) references to any relevant legislation;
  - (g) a nominated contact officer;
  - (h) any other relevant information (e.g., political sensitivities, any other Party or agency that has been informed, involved or that can assist with investigations); and
  - (i) the current status of any inquiries commenced by the Service Provider.

## **29 ANTI – CORRUPTION**

- 29.1 The Service Provider warrants that neither it nor its Personnel will make or cause to be made, receive or seek to receive any offer, gift or payment, or benefit of any kind, which could be construed as an illegal or corrupt act, either directly or indirectly to any Party, in relation to the execution of this Agreement.
- 29.2 Any breach of this clause 30 will entitle Tetra Tech International Development to issue a notice under clause 18 to terminate this Agreement immediately.

## **30 WORK HEALTH AND SAFETY**

In carrying out the Services the Service Provider must:

- (a) comply, and ensure that any subcontractor, subconsultant comply, with all WHS Legislation, codes of practice, standards and policies and other requirements of this Agreement in respect of work health and safety;
- (b) ensure so far as is reasonably practicable, the health and safety of workers engaged, or caused to be engaged by the Service Provider, and workers whose activities in carrying out work are influenced or directed by the Service Provider, while the workers are at work;
- (c) ensure so far as is reasonably practicable, that the health and safety of other persons is not put at risk;
- (d) comply with its duty under the WHS Legislation to consult with workers who carry out work for the Service Provider (or are likely to be) directly affected by a work health and safety matter;
- (e) comply with its duty under the WHS Legislation to consult, cooperate and coordinate activities with all other persons who have a work health and safety duty in relation to the same matter; and
- (f) allow Tetra Tech International Development or its agents to review, inspect, audit or otherwise observe the Service Provider's health and safety systems, work practices and procedures related to the Services at any time, at the Service Provider's cost, without Tetra Tech International Development incurring any liability or responsibility for such matters.

## **31 PUBLICITY**

The Service Provider may not make media or other announcements or releases relating to this Agreement without Tetra Tech International Development's prior written approval except to the extent that the announcement or release is required to be made by law.

## **32 NOVATION, ASSIGNMENT AND SUBCONTRACTING**

- 32.1 Under the Head Contract between DFAT and Tetra Tech International Development, DFAT has the right of substitution to further novate this Agreement to another managing contractor. The Service Provider, by entering into this Agreement for the provision of the Services, acknowledges that this Agreement can be novated and it does not create any contractual relationship between DFAT and the Service Provider.
- 32.2 The Service Provider is permitted to subcontract any part of the Services but remains responsible for delivery of the Services.



- 32.3 Where the Service Provider subcontracts any part of the Services, the work undertaken by the Service Provider's Personnel must be performed to the same standards as stated in this Agreement.
- 32.4 The Service Provider will not, as a result of any subcontracting arrangement, be relieved from the performance of any obligation under this Agreement and will be liable for all acts and omissions of any subcontracted Personnel as though they were the actions of the Service Provider itself.
- 32.5 The Service Provider must not assign or attempt to assign any rights under this Agreement without Tetra Tech International Development's written consent.

### **33 PERFORMANCE ASSESSMENT**

- 33.1 The Service Provider acknowledges and agrees that DFAT may issue a Service Provider performance assessment in relation to this Agreement.
- 33.2 The Service Provider agrees that DFAT or Tetra Tech International Development may issue:
- (a) a Service Provider performance assessment; or
  - (b) Service Provider key personnel performance assessments, in relation to the Agreement
- 33.3 The Service Provider will sign and return the Service Provider performance assessment together with any response within 15 days of receipt and will ensure that the Service Provider personnel performance assessments together with any response any personnel wishes to include are signed and returned within 15 days of receipt.

### **34 COMPLIANCE WITH DFAT AND TETRA TECH INTERNATIONAL DEVELOPMENT POLICIES**

- 34.1 The Service Provider and its Personnel must have regard to and comply with, relevant and applicable laws, guidelines, regulations and policies, including those in Australia and in the Partner Country.
- 34.2 The Service Provider must ensure that it and its Personnel comply with DFAT policies and guidance as identified on the DFAT website: <https://www.dfat.gov.au/aid/australias-development-program>, including:
- (a) the Disability Inclusive Strategy;
  - (b) the Child Protection Policy;
  - (c) the Preventing Sexual Exploitation, Abuse and Harassment Policy;
  - (d) the Family Planning and the Aid Program: Guiding Principles;
  - (e) the Environment Protection Policy;
  - (f) the Displacement and Resettlement of People in Development Activities Policy;
  - (g) the Gender Equality and Women's Empowerment Policy;
  - (h) the Guidelines for preparing Accessible Content;
  - (i) the Anti-Corruption Policy;
  - (j) the Counterterrorism Policy;
  - (k) the Fraud Control Policy;
  - (l) the Commonwealth Procurement Rules and Guidelines; and
  - (m) the Commonwealth Grant Rules and Guidelines.

The Service Provider will sign the Code of Conduct and Client Service Standards as attached at schedule 4.

### **35 NOTIFICATION TO TETRA TECH INTERNATIONAL DEVELOPMENT**

- 35.1 The Service Provider must immediately notify Tetra Tech International Development if the Service Provider, including its Personnel is:
- (i) Subject to a change in Control of its legal entity;
  - (ii) on the DFAT Consolidated List, the Criminal Code Act List, the World Bank List or a Relevant List;
  - (iii) subject to any proceedings or informal process that could lead to listing on the DFAT Consolidated List, the Criminal Code Act List, the World Bank List or a Relevant List;
  - (iv) temporarily suspended from tendering for World Bank contracts by the World Bank, pending the outcome of a sanctions process;
  - (v) temporarily suspended from tendering by a donor of development funding other than the World Bank; and/or
  - (vi) the subject of an investigation (whether formal or informal) by the World Bank or another donor of development funding.
- 35.2 The Service Provider must inform Tetra Tech International Development immediately if the Service Provider becomes aware of any issue that may affect its performance of, or compliance, with this Contract.

**EXECUTED** as an Agreement.

**SIGNED for and on behalf of Tetra Tech International Development Pty Ltd by:**

.....  
**Name (Print)**

.....  
**Name of Witness (Print)**

.....  
**Signature**

.....  
**Signature of Witness**

.....  
**Date**

.....  
**Date**

**SIGNED for and on behalf of [Service Provider] by:**

.....  
**Name (Print)**

.....  
**Name of Witness (Print)**

.....  
**Signature**

.....  
**Signature of Witness**

.....  
**Date**

.....  
**Date**

**SCHEDULE 1**  
**SCOPE OF SERVICES**

[Enter text here ]

## SCHEDULE 2

### AGREEMENT DETAILS

<b>SERVICE PROVIDER:</b>	
<b>PROJECT:</b>	
<b>COMMENCEMENT DATE:</b>	
<b>COMPLETION DATE:</b>	
<b>LOCATION:</b>	
<b>PARTNER COUNTRY:</b>	

### PRICES

The total amount payable for the Services will not exceed the sum of up to: |AUD XXXXX|excluding GST. Tetra Tech International Development is not liable for any costs or expenditure incurred by the Service Provider in excess of this amount, unless previously approved by Tetra Tech International Development via a contract Variation Directed by Tetra Tech International Development.

### MILESTONE PAYMENTS

Tetra Tech International Development will pay the Service Provider the Prices for the Services in instalments known as milestone payments as described in this schedule ("**Milestone Payments**").

Where a Milestone Payment is to follow acceptance of a report, Tetra Tech International Development is not obliged to make full payment until all of the outputs to be achieved by the Service Provider in the period covered by the report have been achieved to its satisfaction.

The Milestone Payments will be payable to the Service Provider progressively, on Tetra Tech International Development's acceptance of the satisfactory completion of identified outputs and a correctly rendered invoice.

Milestone Payments will be paid within 30 days of acceptance by Tetra Tech International Development of the milestones being completed to its satisfaction as summarised below:

### MILESTONES:

#	Description of Milestone	Milestone Payment Amount VND (Ex- GST)	Due Date	Means of Verification/ Acceptance
1	Activity designed by Service Provider and approved by Tetra Tech International Development. Nominated personnel approved by Tetra Tech International Development. Completion of activity planning and preparation as per Tetra Tech International	AUD  Fixed Personnel Costs (not including Fixed Management Fee) as per approved Service Fees		Completion of activity planning and preparation to Tetra Tech International Development's satisfaction including the detailed Activity Design and Delivery Plan, Budget and Nominated Personnel.

	Development's satisfaction including the development of course content and materials.			
<b>2</b>	Progress Report submitted and approved by Tetra Tech International Development's satisfaction including financial reconciliation.	Maximum of AUD Based on actual reimbursable costs incurred to date as per the approved Service Fees		Written acceptance by Tetra Tech International Development Representative.  Reimbursable Costs summary and invoice submitted and approved by Tetra Tech International Development including financial reconciliation.
<b>3</b>	Activity completed to Tetra Tech International Development's satisfaction.  Completion Report submitted and approved by Tetra Tech International Development's satisfaction including financial reconciliation.  Completion of other services generally required by the Contract to Tetra Tech International Development's satisfaction.	Maximum of AUD Based on the Fixed Management Fee as per the approved Service Fees		Written acceptance by Tetra Tech International Development Representative.

## **CLAIMS FOR PAYMENT**

The Service Provider claims for payment must be submitted when due pursuant to this schedule in a form identifiable with the Services.

All claims for payment must include a certification by a duly authorised representative:

- i. that the invoice has been correctly calculated;
- ii. that the services included in it have been performed in accordance with this Agreement.

All claims for payment must be made to:

[XXXX ]

Senior Project Manager

Tetra Tech International Development Pty Ltd

33 Richmond Road Keswick SA 5035

[Email: @tetratech.com ]

Tetra Tech International Development need not pay an amount that is disputed in good faith by Tetra Tech International Development until the dispute is resolved.

## **INSURANCE POLICIES REQUIRED:**

The Contractor must effect and maintain in accordance with the Terms and Conditions the following policies of insurance. Certificates of currency for each of the policies are to be issued to Tetra Tech International Development within 7 days of receipt of this Letter of Agreement:

- a) Public Liability insurance with a limit of at least AUD 20 million for each and every claim which covers loss of, or damage to, or loss of use of any real or personal property and/or any personal injury to, illness or death or any person arising from the performance of the Service;
- b) Motor Vehicle third party property damage insurance;
- c) Lawful and adequate Workers' Compensation insurance which:
  - i. Fully insures the contractor for any amount it becomes liable to pay under any statute relating to workers' or accident compensation or for employer's liability at common law;
  - ii. Is effected in the Partner Country as well as every state or territory in Australia where its Personnel normally reside or in which their contract of employment was made; and
  - iii. Where possible at law, extends to indemnify Tetra Tech International Development as principle for Tetra Tech International Development's liability to persons engaged by the Contractor.
- d) Adequate property insurance covering any material created under this Letter of Agreement, Supplies and the reinstatement of Data while in the care, custody or control of the Contractor for its full replacement value;
- e) Adequate Professional Indemnity insurance to cover the Contractors obligations under this Letter of Agreement. The Contractor must maintain the necessary insurance for the term of this Letter of Agreement and until the expiration of three (3) years after the end of the Term or an earlier termination;
- f) Adequate medical and dental insurance for its Personnel who are engaged outside their country of permanent residence; and
- g) Adequate insurance for medical evacuation and evacuation resulting from an insured event for all its Personnel.



**REPRESENTATIVES**

**Tetra Tech International Development's Representative**

Name:  
Position:  
Telephone:  
Email:

**Service Provider's Representative**

Name:  
Position:  
Telephone:  
Email:

**ESCALATION REPRESENTATIVES- In the event of a dispute**

**Tetra Tech International Development's Escalation Representative**

Name:  
Position:  
Telephone:  
Email:

**Service Provider's Escalation Representative**

Name:  
Position:  
Telephone:  
Email: |

**SCHEDULE 3**  
**SERVICE PROVIDER'S PROPOSAL**

[Enter text here]

**SCHEDULE 4**  
**TETRA TECH INTERNATIONAL DEVELOPMENT**  
**CODE OF CONDUCT AND CLIENT SERVICE STANDARDS**

**Purpose**

The purpose of a Code of Conduct and Client Service Standards is to provide a framework for decisions and actions in relation to our employees' conduct both in employment and as Tetra Tech International Development representatives in front of our clients. It underpins our commitment to a duty of care to all Employees, stakeholders and clients receiving our services. The document explains the principles covering appropriate conduct in a variety of contexts and outlines the minimum standard of behaviour and client service expected from Employees.

It is important for Tetra Tech International Development staff and team members to understand that its clients are contracting and paying Tetra Tech International Development to be a professional, responsive, and proficient contractor. In this context, Tetra Tech International Development staff and team members are not to approach clients for solutions or additional inputs for every problem, rather they should be able to identify and quickly address and solve problems in activity management.

**Scope**

This policy applies to all Employees of Tetra Tech International Development and all of its subsidiaries and related entities.

This policy applies in respect of conduct which relates to or is connected with, in any way, work with a Tetra Tech International Development company, or in connection with a Tetra Tech International Development Group Company provided benefit. This includes, but is not limited to, Employees who are:

- On Company premises
- While on duty in any place where Employees of any Tetra Tech International Development company are working
- Representing a Tetra Tech International Development company
- At a work function organised by a Tetra Tech International Development company
- Travelling for business related purposes.

**Definitions**

“Company Premises” means any place or thing used by any Tetra Tech International Development company in the course of conducting its business (whether or not owned by or within the exclusive control of a Tetra Tech International Development company) including, but not limited to:

- (a) vehicles
- (b) offices
- (c) car parks
- (d) client worksites
- (e) demountables
- (f) workshops
- (g) warehouses
- (h) kitchens.

“Employees” means Employees as well as other non-Employees (such as independent and sub-contractors) who perform work for a Tetra Tech International Development Group Company.

## **Responsibilities**

### ***Managers and Supervisors***

Managers and supervisors are responsible and accountable for:

- Undertaking their duties and behaving in a manner that is consistent with the provisions of the Code of Conduct and Client Service Standards
- The effective implementation, promotion and support of the Code of Conduct and Client Service Standards in their areas of responsibility
- Ensuring Employees under their control understand and follow the provisions outlined in the Code of Conduct and Client Service Standards.

### ***Employees***

All Employees are responsible for:

- Undertaking their duties in a manner that is consistent with the provisions of the Code of Conduct and Client Service Standards
- Reporting suspected corrupt conduct
- Reporting any departure from the Code of Conduct and Client Service Standards by themselves or others.

## **Code of Conduct**

Tetra Tech International Development is a complex organisation, which involves a diversity of relationships. These relationships may be defined by differences in power, status, cultural diversity, organisational structures, contracting relationships, differing country laws, labour laws, international relationships and or national governments. It is essential in such a community that all Employees recognise and respect not only their own rights and responsibilities but also the rights and responsibilities of other members of the community and those of Tetra Tech International Development.

Tetra Tech International Development also recognises that many of their professional employees are also bound by codes of conduct or ethics defined by learned or professional societies or groups. It is recognised that these codes are not always in harmony. It is an obligation of an Employee to weigh the importance of these codes in each particular set of circumstances and notify an appropriate officer of Tetra Tech International Development where such conflict may arise.

### ***Personal and Professional Behaviour***

You should not behave in a way which has the intent or effect of offending or embarrassing other Employees or the public in a manner contrary to legislative requirements.

When carrying out your duties, you will:

- Obey any lawful direction from a person who has the authority to give the direction. If you have a dispute about carrying out a direction you may appeal to your senior manager.
- Behave honestly and with integrity. You will avoid behaviour that could suggest that you are not following these principles. This will include a duty to report other Employees who are behaving dishonestly.
- Make sure that you carry out your work efficiently, economically and effectively as you are able and that the standard of your work reflects favourably on yourself and the company.
- Follow the policies of the company in all aspects of work to achieve outcomes that are socially responsible and sustainable.
- Treat Employees, clients and stakeholders with respect.
- Maintain individuals' rights to privacy and undertake to keep personal information in confidence.
- Do not use, possess or distribute pornographic or offensive materials.
- Comply with all national and international laws.
- When representing the Company in public forums:

- Employees at all levels represent the Company in the course of their employment including when travelling on Company business, attending functions on behalf of the Company or internal Company meetings, conferences, training programs, seminars or any other function.
- Your behaviour in all these circumstances reflects on the Company and its image. As such, you should act in an appropriate business-like manner that will in no way harm the image of the Company or infringe any other Company policy including the Discrimination Free Workplace Policy.
- Where any Company function or meeting is held that involves the availability of alcohol, steps should be taken to ensure that it is not abused. You should be aware that being work-related, behaviour in those situations can be subject to disciplinary procedures.

### ***Conflict of Interest***

Potential for conflict of interest arises when it is likely that you could be influenced, or it could be perceived that you are influenced by a personal interest when carrying out your duties. Conflicts of interest that lead to biased decision making may constitute corrupt conduct.

Some situations that may give rise to a conflict of interest include situations where you have:

- Financial interests in a matter the company deals with or you are aware that your friends or relatives have a financial interest in the matter
- Directorships/Management of outside organisations
- Membership of Boards of outside organisations
- Personal relationships with the people the company is dealing with which go beyond the level of a professional working relationship
- Secondary employment, business, commercial, or other activities outside of the workplace which impacts on clients and/or Employees of the company
- Involvement in party political activities
- Access to information that can be used for personal gain.

You may often be the only person aware of potential for conflict. Therefore, it is your responsibility to avoid any financial or other interest that could compromise your ability to perform your duties impartially. It is also your responsibility to report any potential or actual conflicts of interest to your manager.

If you are uncertain whether a conflict exists, you should discuss that matter with your manager and attempt to resolve any conflicts that may exist.

You must not submit or accept any bribe, or other improper inducement. Any advances of this nature are to be reported to senior management. If you are dealing with, or having access to, sensitive information, you should be particularly alert to inappropriate attempts to influence you.

### ***Outside employment/other external business activities***

If you work full time for the company and you wish to engage in paid employment/other business activities (including participation in family company) outside your official duties, you are required to seek the approval of your manager and Human Resources. The approval should not be unreasonably withheld. However, if there is any real or potential conflict of interest the duties of your position with the company must come first.

If you work for the company on a part time or casual basis, you are required to advise your manager and Human Resources of any real or potential conflict of interest between your employment for the company and any other employment.

The company can request the details of any other employment in the event of allegations of conflict of interest.

### ***Public Comment***

Individuals have a right to give their opinions on political and social issues in their private capacity as members of the community.

Employees must not make official comment on matters relating to the company unless they are:

- Authorised to do so by the Managing Director and CEO

- Giving evidence in court
- Otherwise authorised or required to by law.

Employees cannot release the contents of unpublished or privileged knowledge unless they have the authority to do so.

### ***Use of Company Resources***

Employees must ensure responsible management and security in the use of Tetra Tech International Development resources and any resources managed by them for or on behalf of others.

Requests to use company resources outside core business time should be referred to management (or person authorised to handle such matters), for approval.

If Employees are authorised to use company resources outside core business times, they must take responsibility for maintaining, replacing, and safeguarding the property and following any special directions or conditions which apply. Company resources can include equipment, typing facilities, photocopiers, computers, tools, motor vehicles etc.

Employees using company resources **without** obtaining prior approval could face disciplinary and/or criminal action. Company resources are not to be used for any private commercial purposes (e.g. for 'profit' purposes) under any circumstances.

### ***Security of Information***

Employees are to make sure that confidential and sensitive information in any form (e.g. documents, computers files) cannot be accessed by unauthorised persons. Sensitive material should be securely stored overnight or when unattended.

Employees must ensure that confidential information is only discussed with people who are authorised to have access to it. It is considered a serious area of misconduct to deliberately release confidential documents or information to unauthorised persons and may incur disciplinary action.

### ***Intellectual Property / Copyright***

The term 'intellectual property' includes the rights relating to scientific discoveries, industrial designs, trademarks, service marks, commercial names and designations, and inventions.

Tetra Tech International Development is the owner of intellectual property created by Employees in the course of employment unless a specific prior agreement has been made. Employees must clarify the intellectual property position before making any use of that property.

### ***Discrimination, Harassment and Workplace Bullying***

Employees must not harass, discriminate against, or support others who harass and discriminate against colleagues or members of the public on the grounds of sex, pregnancy, marital status, age, race (including their colour, nationality, descent, ethnic or religious background), physical or intellectual impairment, homosexuality, or transgender. Employees also must not participate in any form of workplace bullying or support others who do so.

Any employee who uses any of Tetra Tech International Development's resources to perpetrate harassment or domestic violence (e.g. use of work phones, use of cars, use of workspaces etc.) will be subjected to disciplinary processes, which may include termination of their employment.

Managers must make sure that the workplace is free from all forms of harassment, unlawful discrimination, and workplace bullying. They should understand and apply the principles of Equal Employment Opportunity and ensure that the Employee they supervise are informed of these principles and are made aware of the Grievance Handling procedures.

In addition, Tetra Tech International Development does not condone any form of domestic violence and is committed to ensuring the Employees are provided with information, training, and support on how to effectively address domestic violence.

## ***Sexual exploitation and abuse***

Employees are obliged to create and maintain an environment which prevents sexual exploitation, abuse, and harassment.

To protect all stakeholders in all situations, Employees while on duty and off duty, must never:

- Sexually exploit or sexually abuse any individual
- Engage in any sexual activity with a child or children regardless of the age of majority or age of consent locally. Mistaken belief in the age of a child is not a defence.
- Act in ways that may place a child at risk of abuse, including not giving due consideration to assessing and reducing potential risks to children as a result of implementing activities. Behaviours and actions that are prohibited include, but are not limited to, using inappropriate language or behaviour when dealing with a child or children, bullying, and harassing a child verbally or physically, physical punishment, exposing a child to pornography including on-line grooming and trafficking. Whenever possible avoid being alone with a child.
- Consume, purchase, sell, possess, and distribute any forms of child pornography.
- Exchange money, employment, goods, or services for sex, including sexual favours or other forms of humiliating, degrading or exploitative behaviour. This includes the buying of or profiting from sexual services as well as exchange of assistance that is due to right holders for sexual favours.
- Exploit the vulnerability of any target group in the context of development, humanitarian, and advocacy work, especially women and children, or allow any person/s to be put into compromising situations. Never abuse a position to withhold development or humanitarian assistance or give preferential treatment; in order to solicit sexual favours, gifts, payments of any kind, or advantage.
- Engage in sexual relationships with members of crisis-affected populations given their increased vulnerability and since such relationships are based on inherently unequal power dynamics and undermine the credibility and integrity of aid work.

## ***Child Protection***

For the purposes of this Code of Conduct and Client Service Standards, a child is any person under the age of 18 years.

The onus is on all Employees to use common sense and avoid actions or behaviours that could be construed as child exploitation and abuse when working for Tetra Tech International Development.

When carrying out your duties, you will:

- Treat children with respect regardless of race, colour, gender, language, religion, political or other opinion, national, ethnic or social origin, property, disability, birth or other status
- Not use language or behaviour towards children that is inappropriate, harassing, abusive, sexually provocative, demeaning or culturally inappropriate
- Not engage children under the age of 18 in any form of sexual intercourse or sexual activity, including paying for sexual services or acts
- Wherever possible, ensure that another adult is present when working in the proximity of children
- Not invite unaccompanied children into your home, unless they are at immediate risk of injury or in physical danger
- Not sleep close to unsupervised children unless absolutely necessary, in which case you will obtain your supervisor's permission, and ensure that another adult is present if possible
- Use any computers, mobile phones, video cameras, cameras, or social media appropriately, and never to exploit or harass children or to access child exploitation material through any medium
- Not use physical punishment on children
- Not hire children for domestic or other labour, which is inappropriate given their age or developmental stage, which interferes with their time available for education and recreational activities, or which places them at significant risk of injury
- Comply with all relevant Australian and local legislation, including labour laws in relation to child labour
- Immediately report concerns or allegations of child exploitation and abuse and policy non-compliance in

accordance with appropriate procedures

- Immediately disclose all charges, convictions, and other outcomes of an offence, which occurred before or occurs during your association with Tetra Tech International Development that relate to child exploitation and abuse.

***When photographing or filming a child or using children's images for work related purposes, you will:***

- Assess and endeavour to comply with local traditions or restrictions for reproducing personal images before photographing or filming a child
- Obtain informed consent from the child and parent or guardian of the child before photographing or filming a child and in doing so, you must explain how the photograph or film will be used
- Ensure photographs, films, videos, and DVDs present children in a dignified and respectful manner and not in a vulnerable or submissive manner. Children should be adequately clothed and not in poses that could be seen as sexually suggestive
- Ensure images are honest representations of the context and the facts
- Ensure file labels, meta data or text descriptions do not reveal identifying information about a child when sending images electronically or publishing images in any form.

Breaches of this child protection Code of Conduct and Client Service Standards may result in disciplinary and/or criminal action.

***Corrupt Conduct***

Corrupt conduct commonly involves the dishonest or partial use of power or position that results in one person/group being advantaged over another. Corruption can take many forms including, but not limited to:

- Official misconduct
- Bribery and blackmail
- Unauthorised use of confidential information
- Fraud
- Theft.

Any form of corrupt conduct will not be tolerated by the company. Disciplinary action up to and including dismissal will be taken in the event of any Employee participating in corrupt conduct.

***Occupational Health & Safety***

It is the responsibility of all Employees to act in accordance with the occupational health and safety legislation, regulations and policies and their respective organisations and use security and safety equipment provided.

Specifically, all Employees are responsible for safety in their work area by:

- Following the safety and security directives of management
- Advising management of areas where there is a potential problem in safety and reporting suspicious occurrences
- Minimising risks in the workplace.

***Conduct of Current / Former Employees***

Employees should not misuse their position to obtain opportunities for future employment.

Employees should not allow themselves or their work to be influenced by plans for, or offers of employment outside of Tetra Tech International Development. If they do there is a conflict of interest and their integrity and that of Tetra Tech International Development is at risk.

Former Employees should not use or take advantage of confidential information obtained in the course of their official duties that may lead to gain or profit, until it has become publicly available.



## Client Service Standards

All Tetra Tech International Development staff and team members are committed to the following Client Service Standards:

- Implement activities professionally, with a focus on quality, developmental impact and long-term sustainability and with proper regard to cross-cutting development policies, such as gender and the environment, and the whole-of-government approach to development.
- Maintain productive relationships with their counterpart Government and activity stakeholders. This includes a genuine focus on transferring skills to counterparts and promoting counterparts' longer term 'ownership' throughout the delivery of the activity.
- Focus on achieving results and outcomes in a manner that is always accountable and demonstrates probity, procedural fairness, and value for money.
- Take responsibility for progress of activities, consulting actively with the client and their counterpart on important problems and issues.
- Use experience and judgement to identify substantive problems in advance and then approach the client (and where appropriate the counterpart) with well-considered, well costed, options and recommendations.
- Demonstrate value adding, innovation, analytical rigour and quality assurance in project or activity management. This includes ensuring quality, clarity and accuracy of reporting, invoicing, and financial management.
- Promote high standards of personal conduct/behaviour, teamwork, and respect at all times. Lapses in these areas undermine relationships with counterparts, undermine activity effectiveness and reflect poorly on the client.
- Never make decisions that are the proper preserve of foreign governments and/or the funding agency, which alter the substance of the activity or create 'surprises' for the client or the counterpart.

## Breaches of the Code of Conduct and Client Service Standards

Employees should note that breaches of certain sections of this Code of Conduct and Client Service Standards may be punishable under laws and legislation.

Breaches of this Code of Conduct and Client Service Standards may lead to disciplinary action. The process for disciplinary action is outlined in Tetra Tech International Development policies and guidelines, relevant industrial awards, and agreements.

**I acknowledge that I have read and understood the above Code of Conduct and Client Service Standards and will comply with its contents.**

<b>Name:</b>	
<b>Position:</b>	
<b>Signature:</b>	

## Acknowledged by Tetra Tech International Development

<b>Name:</b>	
<b>Signature:</b>	

**AM 9267**

**Part D**

**Selection Criteria and Information Required for  
Technical Assessment**

## 1 Technical Proposal

Tenderers should submit a proposal of up to a maximum of ten (10) pages plus required annexes, which substantively and individually address the selection criteria below.

The Technical Proposal will be worth 80% of the total assessment score.

## 2 Selection Criteria

Proposals should be presented in the following format. Each category should be addressed individually under the criterion headings. The weighting of each of the criterion is provided in the following table:

### Criteria

Criteria	Weighting
A. Prior performance and experience	20%
B. Response to Activity specification	40%
C. Core personnel	30%
D. Value for money	10%
Total:	100%

### A. Prior performance and experience (20% of the technical assessment)

The Tenderer must demonstrate:

- a) Prior experience and knowledge of the Australian higher education sector;
- b) Prior experience and knowledge of the of Vietnamese higher education sector;
- c) Established networks with relevant higher education regulatory authorities and universities in Australia;
- d) Experience in the design and delivery of training for international participants, including provision of administrative, logistical and welfare support services as outlined in Part B - Scope of Services.

### B. Response to Activity specification (40% of the technical assessment)

The Tenderer must provide details of their approach to designing and delivering the Activity. Specifically, the Tenderer must demonstrate how they will:

- a) Design the Activity to enable achievement of learning outcomes specified in Part B – Scope of Services, and which includes all required elements specified in Part B – Scope of Services;
- b) Manage the logistics arrangements for the Activity, including interpretation and translation, participants' international flights and visas, domestic flights (if required), ground transportation, meals and accommodation and administration of per diems in accordance with the Aus4Skills Guidelines for Contracted Providers available at: [Guidelines for Contracted Providers \(tetrachasiapacific.com\)](http://tetrachasiapacific.com); and
- c) Effectively monitor and evaluate the overall progress and success of the Activity against its outcomes and objectives.

### C. Core personnel (30% of the technical assessment)

The Tenderer must propose a team structure which addresses their capacity to deliver the Activity. Nominated team members must have the appropriate qualifications and experience to design and deliver the Activity. Core personnel should include at least the following positions:

- a) **Team Leader** - a technical expert in higher education who will work with the Aus4Skills Senior Education Officer and MOET to design and deliver the study mission. S/he may be supported by other experts as proposed in the Tenderer's Technical Proposal.
- b) **One Administrator/Coordinator** - responsible for making all logistics arrangements for the study mission, including financial administration, reporting and coordination, and providing welfare support to participants while they are in Australia. The administrator/coordinator will also support Aus4Skills to ensure the efficient management and delivery of the study mission. A Vietnamese national is preferred.

### D. Value for Money (10% of the technical assessment)

The Tenderer must demonstrate how its Technical Proposal represents value for money, through cost effective delivery (i.e. factors such as existing delivery models, partnerships, industry connections, capacity building, resources and materials that will contribute to effective activity delivery) and co-contributions from the Tenderer (either monetary or in-kind).

# **AM 9267**

## **Part E**

### **Selection Criteria and Information Required for Financial Assessment**

## 1 Financial Proposal

Tenderers must submit a Financial Proposal as a part of their Submission in the MS Excel spreadsheet provided.

It should be a stand-alone proposal and NOT form a part of the Technical Proposal. This proposal must be submitted in a separate document. The Financial Proposal is worth 20% of the total assessment score.

## 2 Approach to the Financial Proposal

A financial price assessment of those Proposals assessed as technically suitable by the Technical Evaluation Committee (TEC) will be undertaken by the TEC for Tetra Tech International Development. Tetra Tech International Development reserves the right to provide the financial component of any Proposal to TEC members for their examination in the context of resource adequacy evaluation against the selection criteria in the technical assessment process.

## 3 Limited Information Required for Price Assessment

The final Activity Budget will be negotiated with the preferred Tenderer.

The financial detail required for the Financial Proposal (i.e. price assessment) includes the Tenderer's management fee and personnel costs as indicated in the MS Excel spreadsheet template provided.

**Please note** – Reimbursable costs associated with the study mission are **not** to be included as part of the Financial Proposal. These costs will be negotiated with the preferred Tenderer as part of the contract negotiation process in line with the mandated service levels.

Tenderers must complete all schedules showing their firm quotations in whole Australia dollars (\$AUD). Tetra Tech International Development requires these calculations for the purposes of disaggregating and checking and accuracy of the Tenderers' total financial assessment figure.

Tenderers should note that inaccurate or inconsistent calculations in the financial component of any Proposal may, in Tetra Tech International Development's sole discretion, be grounds for Tetra Tech International Development to deem that Proposal non-conforming and exclude it from further consideration under this Request for Tender process.

## 4 Content of Financial Proposal

Within the Financial Proposal Tenderers must address the criteria as set out in the table below. These criteria will be used to make a like-for-like financial comparison.

### Criteria

#### Schedule 1: Personnel course design cost

Personnel costs for the design of the Activity, expressed as number of person days at a daily rate. The Tenderer needs to make an assessment of the number of person days required to design the Activity. This may be completed by one person or more. Tenderers must provide details in the MS Excel Spreadsheet: Fixed personnel costs for Activity design.

#### Schedule 2: Personnel course delivery cost

Personnel costs for the delivery of the Activity, expressed as number of person days at a daily rate for each of the nominated personnel. Tenderers must provide details in the MS Excel Spreadsheet: Fixed personnel costs for Activity delivery.

The number of days used to calculate these costs will normally be greater than the actual days of Activity. Both the Team Leader and the Administrator/Coordinator will need to work some additional days on preparation, administration and logistics, preparing the Activity Completion Report and Financial Report, etc. Tenderers need to make their own assessment of how many person days will be required to undertake these tasks.

---

**Schedule 3: Fixed Management Fee**

Total management fee to be charged by the Tenderer for the design and delivery of the Activity as described in the Scope of Services. This must include any applicable insurance as outlined in the Draft Contract of this Request for Tender at Part C. Tenderers must provide details in the MS Excel Spreadsheet: Fixed management fee.

Tenderers must clearly detail any "other fees" to be charged to the Activity (e.g. financial costs, administration costs, special fees, staff on costs, etc). These "other fees" will be subject to approval during the contract negotiation process.

The Fixed Management Fee is exclusive of personal costs and any other costs directly associated with Activity design and delivery.

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**5 Retention of Price Component of Proposals by Tetra Tech International Development**

The financial components of all Proposals, including those not considered technically suitable, will be retained by Tetra Tech International Development.

**6 Escalation**

There is no provision of escalation.

**7 Goods and Services Tax (GST)**

Refer to the Draft Contract of this Request for Tender at Part C.